

On the discontinuous Galerkin approximation of Maxwell's equations

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joint work with J.-L. Guermond (Texas A&M) and T. Chaumont-Frelet (INRIA Lille)

Von Mises Lecture, 05 July 2024

- Maxwell's equations
- Discontinuous Galerkin (dG) approximation
- Correctness for dG spectral problem
 - [AE & JLG, SINUM 23; hal-04145808]
- Asymptotic optimality for dG time-harmonic problem
 - [TCF & AE, hal-04216433, hal-04589791]
- Some further insights on spectral correctness

Maxwell's equations

- Functional setting
- Compactness
- Spectral and time-harmonic problems

Maxwell's equations

- Space-time PDEs posed on $D \times J$ with $D \subset \mathbb{R}^3$, $J := (0, T)$
- Find $(\mathbf{H}, \mathbf{E}) : D \times J \rightarrow \mathbb{R}^3 \times \mathbb{R}^3$ s.t.

$$\partial_t(\mu\mathbf{H}) + \nabla \times \mathbf{E} = \mathbf{0} \quad (\text{Faraday})$$

$$\partial_t(\epsilon\mathbf{E}) - \nabla \times \mathbf{H} = -\mathbf{j} \quad (\text{Ampère})$$

$$\nabla \cdot (\mu\mathbf{H}) = 0 \quad (\text{Gauss})$$

$$\nabla \cdot (\epsilon\mathbf{E}) = \rho \quad (\text{Gauss})$$

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- Data: ρ (charge density) and \mathbf{j} (current) s.t. $\partial_t \rho + \nabla \cdot \mathbf{j} = 0$

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- **Material properties:** ϵ (electric permittivity), μ (magnetic permeability)
- Data: ρ (charge density) and \mathbf{j} (current) s.t. $\partial_t \rho + \nabla \cdot \mathbf{j} = 0$
- **Prescribe ICs** $(\mathbf{H}_0, \mathbf{E}_0) : D \rightarrow \mathbb{R}^3 \times \mathbb{R}^3$
- Focus on bounded Lipschitz domain D : enforce **BC on $\Gamma := \partial D$**
 - Simplest BCs: perfect magnetic or electric conductor

$$\mathbf{H} \times \mathbf{n}|_{\Gamma} = \mathbf{0} \quad \text{or} \quad \mathbf{E} \times \mathbf{n}|_{\Gamma} = \mathbf{0}$$

- Other possible BCs: impedance, transparent (far field), ...

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 - if $\nabla \cdot (\mu\mathbf{H}_0) = 0$, then $\nabla \cdot (\mu\mathbf{H}) = 0$ at all times
 - if $\nabla \cdot (\epsilon\mathbf{E}_0) = \rho_0$, then $\nabla \cdot (\epsilon\mathbf{E}) = \rho$ at all times

One says that **Gauss's laws are involutions**

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- Actually, $\nabla \cdot (\mu\mathbf{H}) = 0$ does not always imply $\mu\mathbf{H} \in \text{im}(\nabla \times)$ (depends on domain topology), but the converse is true!
- The **topology-blind statement** of the involution on \mathbf{H} is

$$\mu\mathbf{H} \in \text{im}(\nabla \times)$$

- Similarly, in the absence of free charges ($\mathbf{j} = \mathbf{0}$), the **topology-blind statement** of the involution on \mathbf{E} is

$$\epsilon\mathbf{E} \in \text{im}(\nabla \times)$$

Functional setting

- Graph spaces for gradient, curl, or divergence

$$H^1(D), \quad \mathbf{H}(\mathbf{curl}; D) := \{\mathbf{h} \in L^2(D) \mid \nabla \times \mathbf{h} \in L^2(D)\}, \quad \mathbf{H}(\mathbf{div}; D)$$

- Hilbert spaces equipped with natural graph norm, e.g.,

$$\|\mathbf{h}\|_{\mathbf{H}(\mathbf{curl}; D)}^2 := \|\mathbf{h}\|_{L^2}^2 + \ell_D^2 \|\nabla \times \mathbf{h}\|_{L^2}^2$$

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- De Rham sequences** (with and without BC)

$$\begin{array}{ccccccc} H_0^1(D) & \xrightarrow{\nabla_0} & \mathbf{H}_0(\mathbf{curl}; D) & \xrightarrow{\nabla_0 \times} & \mathbf{H}_0(\mathbf{div}; D) & \xrightarrow{\nabla_0 \cdot} & L_0^2(D) \\ L^2(D) & \xleftarrow{\nabla \cdot} & \mathbf{H}(\mathbf{div}; D) & \xleftarrow{\nabla \times} & \mathbf{H}(\mathbf{curl}; D) & \xleftarrow{\nabla} & H^1(D) \end{array}$$

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- All operators have **closed range**
- Pairs of **adjoint operators**: $(\nabla_0, -\nabla \cdot)$, $(\nabla_0 \times, \nabla \times)$, $(-\nabla_0 \cdot, \nabla)$

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- Rewriting of involutions using Closed Range Theorem (orthogonalities meant in L^2) [Hiptmair 02]

$$\mu H \in \text{im}(\nabla \times) = H_0(\mathbf{curl} = \mathbf{0}; D)^\perp, \quad \epsilon E \in \text{im}(\nabla_0 \times) = H(\mathbf{curl} = \mathbf{0}; D)^\perp$$

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- **Topology-blind statements!**
 - $H_0(\mathbf{curl} = \mathbf{0}; D)^\perp \subset H(\text{div} = 0; D)$ with equality iff Γ is connected
 - $H(\mathbf{curl} = \mathbf{0}; D)^\perp \subset H_0(\text{div} = 0; D)$ with equality iff D is simply connected

See [Dautray, Lions 90; Amrouche, Bernardi, Dauge, Girault, 98]

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- Assume D is a Lipschitz polyhedron
 - pcw. constant material properties (or multiplier property in H^s , $s \in (0, \frac{1}{2}]$)

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- Involution-aware functional spaces

$$X_{\mu,0}^c := \{\mathbf{h} \in \mathbf{H}_0(\mathbf{curl}; D) \mid \mu \mathbf{h} \in \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp\}$$

$$X_\epsilon^c := \{\mathbf{e} \in \mathbf{H}(\mathbf{curl}; D) \mid \epsilon \mathbf{e} \in \mathbf{H}(\mathbf{curl} = \mathbf{0}; D)^\perp\}$$

There is $s \in (0, \frac{1}{2}]$ s.t. for all $\mathbf{h} \in X_{\mu,0}^c$ and all $\mathbf{e} \in X_\epsilon^c$,

$$\|\mathbf{h}\|_{\mathbf{H}^s(D)} \lesssim \ell_D^{1-s} \|\nabla_0 \times \mathbf{h}\|_{L^2}, \quad \|\mathbf{e}\|_{\mathbf{H}^s(D)} \lesssim \ell_D^{1-s} \|\nabla \times \mathbf{e}\|_{L^2}$$

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- Improved regularity shift for **constant properties**: There is $s' \in (\frac{1}{2}, 1]$ s.t. for all $\boldsymbol{\eta} \in X_0^c$ and all $\boldsymbol{\varepsilon} \in X^c$,

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with $X_0^c := \mathbf{H}_0(\mathbf{curl}; D) \cap \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp$, $X^c := \mathbf{H}(\mathbf{curl}; D) \cap \mathbf{H}(\mathbf{curl} = \mathbf{0}; D)^\perp$

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- See [Weber, 80; Birman & Solomyak, 87; Costabel, 90; Amrouche, Bernardi, Dauge, Girault, 98; Jochmann, 99; Bonito, Guermond & Luddens, 13]

- For dimensional consistency,
 - vacuum properties ϵ_0, μ_0 ; speed of light: $c := (\mu_0\epsilon_0)^{-\frac{1}{2}}$
 - reference frequency $\omega_D := c\ell_D^{-1}$

Spectral problem

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 - vacuum properties ϵ_0, μ_0 ; speed of light: $c := (\mu_0\epsilon_0)^{-\frac{1}{2}}$
 - reference frequency $\omega_D := c\ell_D^{-1}$
- Find nonzero $\lambda \in \mathbb{C}$ and nonzero $(\mathbf{H}, \mathbf{E}) \in X_{\mu,0}^c \times X_\epsilon^c$ s.t.

$$-\nabla \times \mathbf{E} = \frac{\omega_D}{\lambda} \mu \mathbf{H}, \quad \nabla_0 \times \mathbf{H} = \frac{\omega_D}{\lambda} \epsilon \mathbf{E}$$

(eigenvalue λ is nondimensional)

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- Eigenfunctions are **involution-preserving**

$$\mathbf{H} \in X_{\mu,0}^c \iff \left\{ \mathbf{H} \in \mathbf{H}_0(\mathbf{curl}; D) \wedge \mu \mathbf{H} \in \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp \right\}$$

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Boundary-value operator for spectral problem

- Introduce L^2 -orthogonal projections

$$\mathbf{\Pi}_0^c : L^2(D) \rightarrow H_0(\mathbf{curl} = \mathbf{0}; D), \quad \mathbf{\Pi}^c : L^2(D) \rightarrow H(\mathbf{curl} = \mathbf{0}; D)$$

Involutions mean that $\mathbf{\Pi}_0^c(\mu\mathbf{H}) = \mathbf{0}$, $\mathbf{\Pi}^c(\epsilon\mathbf{E}) = \mathbf{0}$

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- Boundary-value operator $T : L \rightarrow L := L^2(D) \times L^2(D)$
- For all $(f, g) \in L$, $T(f, g)$ is the unique pair $(H, E) \in X_{\mu,0}^c \times X_\epsilon^c \subset L$ solving the **well-posed problem**

$$-\nabla \times E = \omega_D(I - \Pi_0^c)(\mu f), \quad \nabla_0 \times H = \omega_D(I - \Pi^c)(\epsilon g)$$

By construction, $(I - \Pi_0^c)(\mu f) \in \text{im}(\nabla \times)$ and $(I - \Pi^c)(\epsilon g) \in \text{im}(\nabla_0 \times)$

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- Since $X_{\mu,0}^c \times X_\epsilon^c \hookrightarrow \mathbf{H}^s(D) \times \mathbf{H}^s(D)$, T is a **compact operator**
- $(\lambda, (\mathbf{H}, \mathbf{E}))$, $\lambda \neq 0$, is a Maxwell eigenpair iff

$$T(\mathbf{H}, \mathbf{E}) = \lambda(\mathbf{H}, \mathbf{E})$$

Time-harmonic Maxwell's equations

- To fix ideas, enforce BC on \mathbf{E} : $\mathbf{E} \in \mathbf{H}_0(\mathbf{curl}; D)$, $\mathbf{H} \in \mathbf{H}(\mathbf{curl}; D)$
- Fix frequency $\omega > 0$, time-harmonic behavior: $\partial_t \rightarrow i\omega$

$$i\omega\mu\mathbf{H} + \nabla_0 \times \mathbf{E} = \mathbf{0}, \quad i\omega\epsilon\mathbf{E} - \nabla \times \mathbf{H} = -\mathbf{j}$$

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- Eliminate $\mathbf{H} \rightarrow$ Second-order formulation: Find $\mathbf{E} \in \mathbf{H}_0(\mathbf{curl}; D)$ s.t.

$$-\omega^2\epsilon\mathbf{E} + \nabla \times (\nu \nabla_0 \times \mathbf{E}) = \mathbf{J}$$

with $\nu := \mu^{-1}$ and $\mathbf{J} := -i\omega\mathbf{j}$

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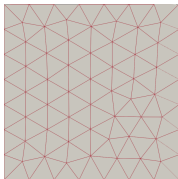
$$\omega^2\epsilon\mathbf{E} + \mathbf{J} \in \text{im}(\nabla \times) = \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp$$

- Coercive problem with **compact perturbation** \rightarrow **Fredholm's alternative**
 - well-posed problem if ω is not a resonant frequency

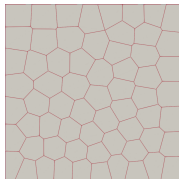
Discontinuous Galerkin approximation

Mesh and broken polynomial spaces

- \mathcal{T}_h : shape-regular mesh covering D exactly



simplicial mesh

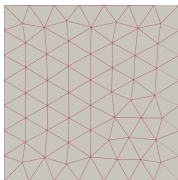


polygonal mesh

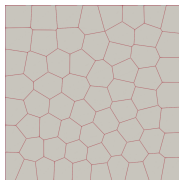
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Mesh and broken polynomial spaces

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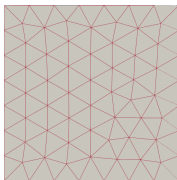
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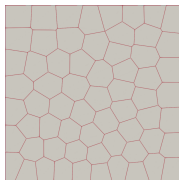
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- dG textbooks: [Hesthaven & Warburton 08; Di Pietro & AE, 12]

Jumps and stabilization

- **Mesh interface** $F \in \mathcal{F}_h^\circ$ s.t. $F = \partial K_l \cap \partial K_r$
 - oriented by unit normal \mathbf{n}_F pointing from K_l to K_r
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- **Stabilization bilinear forms**

$$s_h^H(\mathbf{H}_h, \mathbf{h}_h) := \sum_{F \in \mathcal{F}_h} ([\mathbf{H}_h]_F^c, [\mathbf{h}_h]_F^c)_{L^2(F)} \quad s_h^E(\mathbf{E}_h, \mathbf{e}_h) := \sum_{F \in \mathcal{F}_h^\circ} ([\mathbf{E}_h]_F^c, [\mathbf{e}_h]_F^c)_{L^2(F)}$$

Jump seminorms: $|\mathbf{h}_h|_h^H := s_h^H(\mathbf{h}_h, \mathbf{h}_h)^{\frac{1}{2}}$, $|\mathbf{e}_h|_h^E := s_h^E(\mathbf{e}_h, \mathbf{e}_h)^{\frac{1}{2}}$

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- **Literature**

- Discrete gradient for diffusion problems introduced in [Bassi et al., 97] and analyzed in [Brezzi et al., 00]
- Weak consistency and compactness properties [Burman & AE, 08; Buffa & Ortner, 09; Di Pietro & AE, 09]
- dG methods with discrete curl for Maxwell's equations [Perugia, Schötzau & Monk, 02; Houston et al., 05]

Example of weak consistency property

- **Consistency defect:** For all $\mathbf{h}_h \in \mathbf{P}_k^b(\mathcal{T}_h)$ and all $\mathbf{e} \in \mathbf{H}(\mathbf{curl}; D)$,

$$\delta(\mathbf{h}_h, \mathbf{e}) := (\mathbf{h}_h, \nabla \times \mathbf{e})_{L^2} - (\mathbf{C}_{h,0}^{k,\ell}(\mathbf{h}_h), \mathbf{e})_{L^2}$$

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- **Sketch of proof.** Using L^2 -orthogonal projection Π_h^b onto $\mathbf{P}_k^b(\mathcal{T}_h)$,

$$\delta(\mathbf{h}_h, \boldsymbol{\varepsilon}) = \sum_{F \in \mathcal{F}_h} ([\mathbf{h}_h]_F^c, \{\boldsymbol{\varepsilon} - \Pi_h^b(\boldsymbol{\varepsilon})\}_F)_{L^2(F)}$$

Use approximation properties of Π_h^b and $|\boldsymbol{\varepsilon}|_{\mathbf{H}^{s'}(D)} \lesssim \ell_D^{1-s'} \|\nabla \times \boldsymbol{\varepsilon}\|_{L^2}$

Spectral correctness

Discrete spectral problem

- Recall spectral problem: Find nonzero $\lambda \in \mathbb{C}$ and nonzero $(\mathbf{H}, \mathbf{E}) \in \mathbf{X}_{\mu,0}^c \times \mathbf{X}_\epsilon^c$ s.t.

$$-(\nabla \times \mathbf{E}, \mathbf{h})_{L^2} + (\nabla_0 \times \mathbf{H}, \mathbf{e})_{L^2} = \frac{\omega_D}{\lambda} ((\mu \mathbf{H}, \epsilon \mathbf{E}), (\mathbf{h}, \mathbf{e}))_L$$

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- Discrete bilinear form** (stabilization weights: $\kappa_H := (\mu_0/\epsilon_0)^{\frac{1}{2}}$, $\kappa_E := (\epsilon_0/\mu_0)^{\frac{1}{2}}$)

$$\begin{aligned} a_h((\mathbf{H}_h, \mathbf{E}_h), (\mathbf{h}_h, \mathbf{e}_h)) := & -(\mathbf{C}_h^{k,\ell}(\mathbf{E}_h), \mathbf{h}_h)_{L^2} + (\mathbf{C}_{h,0}^{k,\ell}(\mathbf{H}_h), \mathbf{e}_h)_{L^2} \\ & + \kappa_H s_h^H(\mathbf{H}_h, \mathbf{h}_h) + \kappa_E s_h^E(\mathbf{E}_h, \mathbf{e}_h) \end{aligned}$$

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$$P_{k0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h) := P_k^b(\mathcal{T}_h) \cap H_0(\mathbf{curl} = \mathbf{0}; D)$$

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- Curl-free subspaces need to be “sufficiently rich” to enjoy suitable approximation properties
- On **simplicial meshes**, these subspaces are composed of Nédélec (edge) finite elements, and several **effective interpolation operators** exist!

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- Spectral correctness also using **CIP-stabilized FEM on split meshes** (Alfeld or Clough–Tocher) [AE & JLG, 24, hal-04478683]

Asymptotic optimality, time-harmonic problem

Helmholtz problem

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- dG approximation of Helmholtz problem analyzed in [TCF, 23]
 - bound consistency defect of discrete gradient
 - deal with nonconforming setting and stabilization

Maxwell's problem with conforming approximation

- (Recall) Given $\mathbf{J} \in \mathbf{L}^2(D)$, find $\mathbf{E} \in \mathbf{H}_0(\mathbf{curl}; D)$ s.t.

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- **Asymptotic optimality** established very recently

$$(1 - c(h)) \|\mathbf{E} - \mathbf{E}_h\| \leq \inf_{\mathbf{v}_h \in \mathbf{P}_k^b(\mathcal{T}_h) \cap \mathbf{H}_0(\mathbf{curl}; D)} \|\mathbf{E} - \mathbf{v}_h\|, \quad \lim_{h \rightarrow 0} c(h) = 0$$

with energy norm $\|\mathbf{v}\|^2 := \omega^2 \|\epsilon^{\frac{1}{2}} \mathbf{v}\|_{L^2(D)}^2 + \|\nu^{\frac{1}{2}} \nabla_0 \times \mathbf{v}\|_{L^2}^2$

Maxwell's problem with conforming approximation

- (Recall) Given $\mathbf{J} \in \mathbf{L}^2(D)$, find $\mathbf{E} \in \mathbf{H}_0(\mathbf{curl}; D)$ s.t.

$$-\omega^2(\epsilon \mathbf{E}, \mathbf{e})_{L^2} + (\nu \nabla_0 \times \mathbf{E}, \nabla_0 \times \mathbf{e})_{L^2} = (\mathbf{J}, \mathbf{e})_{L^2} \quad \forall \mathbf{e} \in \mathbf{H}_0(\mathbf{curl}; D)$$

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- impedance BCs in [Melenk & Sauter, 23], **explicit-frequency analysis**, smooth and connected boundary
- perfect conductor BCs in [TCF & AE, 24], **general domain and material properties**, frequency-dependence not made explicit

- **Discrete problem:** Find $\mathbf{E}_h \in \mathbf{P}_k^b(\mathcal{T}_h)$ s.t.

$$b_h(\mathbf{E}_h, \mathbf{e}_h) = (\mathbf{J}, \mathbf{e}_h)_{L^2} \quad \forall \mathbf{e}_h \in \mathbf{P}_k^b(\mathcal{T}_h)$$

with discrete bilinear form

$$b_h(\mathbf{E}_h, \mathbf{e}_h) := -\omega^2 (\epsilon \mathbf{E}_h, \mathbf{e}_h)_{L^2} + (\nu \mathbf{C}_{h,0}^{k,\ell}(\mathbf{E}_h), \mathbf{C}_{h,0}^{k,\ell}(\mathbf{e}_h))_{L^2} + s_h(\mathbf{E}_h, \mathbf{e}_h)$$

and **symmetric, positive-semidefinite** stabilization bilinear form s_h

Example: Interior penalty dG

- Interior penalty dG bilinear form

$$b_h^{\text{IPDG}}(\mathbf{E}_h, \mathbf{e}_h) := -\omega^2(\epsilon \mathbf{E}_h, \mathbf{e}_h)_{L^2} + (\nu \nabla_h \times \mathbf{E}_h, \nabla_h \times \mathbf{e}_h)_{L^2} + \eta_* s_h^{\text{IPDG}}(\mathbf{E}_h, \mathbf{e}_h) \\ + \sum_{F \in \mathcal{F}_h} \{ (\{\nu \nabla_h \times \mathbf{E}_h\}_F, [\mathbf{e}_h]_F^c)_{L^2(F)} + ([\mathbf{E}_h]_F^c, \{\nu \nabla_h \times \mathbf{e}_h\}_F)_{L^2(F)} \}$$

with stabilization bilinear form ($\nu_F := \max_{K \supset F} \nu|_K$)

$$s_h^{\text{IPDG}}(\mathbf{E}_h, \mathbf{e}_h) := \sum_{F \in \mathcal{F}_h} \frac{\nu_F}{h_F} ([\mathbf{E}_h]_F^c, [\mathbf{e}_h]_F^c)_{L^2(F)}$$

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- b_h can be rewritten using discrete curl operators upon setting

$$s_h(\mathbf{E}_h, \mathbf{e}_h) := \eta_* s_h^{\text{IPDG}}(\mathbf{E}_h, \mathbf{e}_h) - (\nu \mathbf{L}_{h,0}^\ell(\mathbf{E}_h), \mathbf{L}_{h,0}^\ell(\mathbf{e}_h))_{L^2}$$

and positivity of s_h requires **taking $\eta_* > 0$ large enough**

Main result on dG approximation

- Error $e := E - E_h$ lives in $V_{\#} := H_0(\mathbf{curl}; D) + P_k^b(\mathcal{T}_h)$
 - natural extension of $[\cdot]_F^c$ and $C_{h,0}^{k,\ell}$ to $V_{\#}$
 - assume s_h can be extended to $V_{\#} \rightarrow s_{\#}$
 - equip $V_{\#}$ with extended energy norm

$$\|\mathbf{v}\|_{\#s}^2 := \omega^2 \|\epsilon^{\frac{1}{2}} \mathbf{v}\|_{L^2}^2 + \|\nu^{\frac{1}{2}} C_{h,0}^{k,\ell}(\mathbf{v})\|_{L^2}^2 + s_{\#}(\mathbf{v}, \mathbf{v})$$

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- **Theorem** [TCF & AE, 24] Assume simplicial meshes, $k \geq 1$, and some minimal assumption on stabilization. Then, with $\lim_{h \rightarrow 0} c(h) = 0$,

$$(1 - c(h)) \|e\|_{\#s}^2 \leq (1 + c(h)) \inf_{v_h \in P_k^b(\mathcal{T}_h)} \|E - v_h\|_{\#s}^2 + \underbrace{2\rho^{-1} \|e\|_{\#s} \min_{\Phi_h^c \in P_{\ell}^c(\mathcal{T}_h)} \|\nu \nabla_0 \times E - \Phi_h^c\|_{\text{ap}^*}}_{\text{nonconformity} \times \text{consistency defect}}$$

Consistency defect can be tamed by increasing ℓ for smooth solutions

Further insight on spectral correctness

- Roadmap
- Poincaré–Steklov inequalities and inf-sup stability
- Duality argument

- Recall L^2 -orthogonal projections

$$\Pi_0^c : L^2(D) \rightarrow H_0(\mathbf{curl} = \mathbf{0}; D), \quad \Pi^c : L^2(D) \rightarrow H(\mathbf{curl} = \mathbf{0}; D)$$

- For all $(\mathbf{f}, \mathbf{g}) \in L := L^2(D) \times L^2(D)$, $T(\mathbf{f}, \mathbf{g})$ is the unique pair $(\mathbf{H}, \mathbf{E}) \in X_{\mu,0}^c \times X_\epsilon^c$ solving the **well-posed problem**

$$-\nabla \times \mathbf{E} = \omega_D (\mathbf{I} - \Pi_0^c)(\mu \mathbf{f}), \quad \nabla_0 \times \mathbf{H} = \omega_D (\mathbf{I} - \Pi^c)(\epsilon \mathbf{g})$$

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- $(\lambda, (\mathbf{H}, \mathbf{E}))$, $\lambda \neq 0$, is a Maxwell eigenpair iff

$$T(\mathbf{H}, \mathbf{E}) = \lambda(\mathbf{H}, \mathbf{E})$$

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- What is the discrete counterpart?

Convergence in operator norm (2/3)

- Introduce **discrete** L^2 -orthogonal projections

$$\mathbf{\Pi}_{h0}^c : L^2(D) \rightarrow \mathbf{P}_{k,0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h), \quad \mathbf{\Pi}_h^c : L^2(D) \rightarrow \mathbf{P}_k^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h)$$

and set $\mathbf{X}_{\mu,h0}^c := \{\mathbf{h}_h \in \mathbf{P}_k^b(\mathcal{T}_h) \mid \mathbf{\Pi}_{h0}^c(\mu\mathbf{h}_h) = \mathbf{0}\}$, $\mathbf{X}_{\epsilon,h}^c := \dots$

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- For all $(\mathbf{f}, \mathbf{g}) \in L$, $T_h(\mathbf{f}, \mathbf{g})$ is the unique pair $(\mathbf{H}_h, \mathbf{E}_h) \in X_{\mu,h0}^c \times X_{\epsilon,h}^c$ solving the **well-posed problem** (proof to come!)

$$a_h((\mathbf{H}_h, \mathbf{E}_h), (\mathbf{h}_h, \mathbf{e}_h)) = \omega_D(((\mathbf{I} - \Pi_{h0}^c)(\mu \mathbf{f}), (\mathbf{I} - \Pi_h^c)(\epsilon \mathbf{g})), (\mathbf{h}_h, \mathbf{e}_h))_L$$

for all $(\mathbf{h}_h, \mathbf{e}_h) \in L_h := P_k^b(\mathcal{T}_h) \times P_k^b(\mathcal{T}_h)$, with discrete bilinear form

$$\begin{aligned} a_h((\mathbf{H}_h, \mathbf{E}_h), (\mathbf{h}_h, \mathbf{e}_h)) &:= -(\mathbf{C}_h^{k,\ell}(\mathbf{E}_h), \mathbf{h}_h)_{L^2} + (\mathbf{C}_{h,0}^{k,\ell}(\mathbf{H}_h), \mathbf{e}_h)_{L^2} \\ &\quad + \kappa_H S_h^H(\mathbf{H}_h, \mathbf{h}_h) + \kappa_E S_h^E(\mathbf{E}_h, \mathbf{e}_h) \end{aligned}$$

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- Spectral approximation of compact operators [Bramble & Osborn, 73; Osborn, 75; Boffi, 10]

Convergence in operator norm (3/3)

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- Two key arguments to prove this result
 - **stability** by deflated inf-sup condition using discrete Poincaré–Steklov inequalities
 - **duality argument**

- Weak PS inequalities

$$\|\mathbf{h}\|_{L^2(D)} = \ell_D \|\nabla_0 \times \mathbf{h}\|_{(X^c)'}, \quad \forall \mathbf{h} \in \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp$$

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- Discrete setting? The difficulty is that

$$\mathbf{P}_{k,0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h)^\perp \not\subset \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp \quad \dots$$

Discrete Poincaré–Steklov inequalities

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- Lemma** [AE & JLG, 23] Discrete PS inequalities hold with dual norms augmented by jump seminorms

$$\|\mathbf{h}_h\|_{L^2(D)} \lesssim \ell_D \|\nabla_0 \times \mathbf{h}_h\|_{(X^c)',} + h^{\frac{1}{2}} |\mathbf{h}_h|_h^H, \quad \forall \mathbf{h}_h \in \mathbf{X}_{\mu, h0}^c$$

$$\|\mathbf{e}_h\|_{L^2(D)} \lesssim \ell_D \|\nabla \times \mathbf{e}_h\|_{(X_0^c)',} + h^{\frac{1}{2}} |\mathbf{e}_h|_h^E, \quad \forall \mathbf{e}_h \in \mathbf{X}_{\epsilon, h}^c$$

(Hidden constant in \lesssim depends on contrast factors $\mu/\mu_0, \epsilon/\epsilon_0$)

Sketch of proof (1/2)

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with $\mathbf{H}_0(\mathbf{curl}; D)$ -conforming averaging operator from [AE & JLG, 17]

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- Since $\boldsymbol{\xi} \in \mathbf{H}_0(\mathbf{curl} = \mathbf{0}; D)^\perp$, weak PS inequality gives

$$\|\boldsymbol{\xi}\|_{L^2} \leq \ell_D \|\nabla_0 \times \boldsymbol{\xi}\|_{(X^c)'} = \ell_D \|\nabla_0 \times \mathbf{h}_h^c\|_{(X^c)'}$$

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- Triangle inequality and approximation properties of $\mathcal{I}_{h_0}^{c, \text{av}}$ give

$$\begin{aligned} \|\boldsymbol{\xi}\|_{L^2} &\leq \ell_D \|\nabla_0 \times (\mathbf{h}_h - \mathbf{h}_h^c)\|_{(X^c)'} + \ell_D \|\nabla_0 \times \mathbf{h}_h\|_{(X^c)'} \\ &\leq \|\mathbf{h}_h - \mathbf{h}_h^c\|_{L^2} + \ell_D \|\nabla_0 \times \mathbf{h}_h\|_{(X^c)'} \\ &\lesssim h^{\frac{1}{2}} |\mathbf{h}_h|_h^H + \ell_D \|\nabla_0 \times \mathbf{h}_h\|_{(X^c)'} \end{aligned}$$

Sketch of proof (2/2)

- Commuting approximation operators for Nédélec and Raviart–Thomas FEM; see [AE & JLG, 21 (vol. I)] and [Schöberl 01; Christiansen, Winther 06]

$$\mathcal{J}_{h0}^c : L^2(D) \rightarrow \mathbf{P}_{k0}^c(\mathcal{T}_h), \quad \mathcal{J}_{h0}^d : L^2(D) \rightarrow \mathbf{P}_{k0}^d(\mathcal{T}_h)$$

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- Since $\mu \mathbf{h}_h \in \mathbf{P}_{k0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h)^\perp$ by assumption, this gives

$$\begin{aligned} \|\mu^{\frac{1}{2}} \mathbf{h}_h\|_{L^2}^2 &= (\mu \mathbf{h}_h, \mathbf{h}_h - \mathbf{h}_h^c)_{L^2} + (\mu \mathbf{h}_h, \mathbf{h}_h^c - \mathcal{J}_{h0}^c(\boldsymbol{\xi}))_{L^2} + (\mu \mathbf{h}_h, \mathcal{J}_{h0}^c(\boldsymbol{\xi}))_{L^2} \\ &= (\mu \mathbf{h}_h, \mathbf{h}_h - \mathbf{h}_h^c)_{L^2} + (\mu \mathbf{h}_h, \mathcal{J}_{h0}^c(\boldsymbol{\xi}))_{L^2} \end{aligned}$$

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- $\mathcal{J}_{h0}^c(\mathbf{\Pi}_0^c(\mathbf{h}_h^c)) \in \mathbf{P}_{k0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h)$ by commuting property

$$\nabla_0 \times (\mathcal{J}_{h0}^c(\mathbf{\Pi}_0^c(\mathbf{h}_h^c))) = \mathcal{J}_{h0}^d(\nabla_0 \times (\mathbf{\Pi}_0^c(\mathbf{h}_h^c))) = \mathcal{J}_{h0}^d(\mathbf{0}) = \mathbf{0}$$

- So $\mathbf{h}_h^c - \mathcal{J}_{h0}^c(\boldsymbol{\xi}) = \mathcal{J}_{h0}^c(\mathbf{h}_h^c - \boldsymbol{\xi}) = \mathcal{J}_{h0}^c(\mathbf{\Pi}_0^c(\mathbf{h}_h^c)) \in \mathbf{P}_{k0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h)$
- Since $\mu \mathbf{h}_h \in \mathbf{P}_{k0}^c(\mathbf{curl} = \mathbf{0}; \mathcal{T}_h)^\perp$ by assumption, this gives

$$\begin{aligned} \|\mu^{\frac{1}{2}} \mathbf{h}_h\|_{L^2}^2 &= (\mu \mathbf{h}_h, \mathbf{h}_h - \mathbf{h}_h^c)_{L^2} + (\mu \mathbf{h}_h, \mathbf{h}_h^c - \mathcal{J}_{h0}^c(\boldsymbol{\xi}))_{L^2} + (\mu \mathbf{h}_h, \mathcal{J}_{h0}^c(\boldsymbol{\xi}))_{L^2} \\ &= (\mu \mathbf{h}_h, \mathbf{h}_h - \mathbf{h}_h^c)_{L^2} + (\mu \mathbf{h}_h, \mathcal{J}_{h0}^c(\boldsymbol{\xi}))_{L^2} \end{aligned}$$

- Since \mathcal{J}_{h0}^c is L^2 -stable, we conclude that

$$\|\mathbf{h}_h\|_{L^2} \lesssim \|\mathbf{h}_h - \mathbf{h}_h^c\|_{L^2} + \|\boldsymbol{\xi}\|_{L^2} \lesssim h^{\frac{1}{2}} |\mathbf{h}_h|_h^H + \ell_D \|\nabla_0 \times \mathbf{h}_h\|_{(X^c)},$$

- Mesh-dependent norm on $L_h := \mathbf{P}_k^b(\mathcal{T}_h) \times \mathbf{P}_k^b(\mathcal{T}_h)$,

$$\begin{aligned} \|(\mathbf{h}_h, \mathbf{e}_h)\|_{b,h} &:= \omega_D^{\frac{1}{2}} \|(\mu^{\frac{1}{2}} \mathbf{h}_h, \epsilon^{\frac{1}{2}} \mathbf{e}_h)\|_L \\ &\quad + \kappa_H^{\frac{1}{2}} \{ \|h^{\frac{1}{2}} \mathbf{C}_{h0}(\mathbf{h}_h)\|_{L^2} + |\mathbf{h}_h|_h^H \} + \kappa_E^{\frac{1}{2}} \{ \|h^{\frac{1}{2}} \mathbf{C}_h(\mathbf{e}_h)\|_{L^2} + |\mathbf{e}_h|_h^E \} \end{aligned}$$

(Notice $h^{\frac{1}{2}}$ -weighted curls as expected in Friedrichs systems [AE & JLG, 06])

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- **Deflated inf-sup condition:** For all $(\mathbf{H}_h, \mathbf{E}_h) \in \mathbf{X}_{\mu,h0}^c \times \mathbf{X}_{\epsilon,h}^c$,

$$\omega_D^{\frac{1}{2}} \|(\mathbf{H}_h, \mathbf{E}_h)\|_{b,h} \lesssim \sup_{(\mathbf{h}_h, \mathbf{e}_h) \in L_h} \frac{|a_h((\mathbf{H}_h, \mathbf{E}_h), (\mathbf{h}_h, \mathbf{e}_h))|}{\|(\mu^{\frac{1}{2}} \mathbf{h}_h, \epsilon^{\frac{1}{2}} \mathbf{e}_h)\|_L}$$

(different norms, different spaces)

(proof uses techniques for Friedrichs systems and discrete PS inequalities)

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- **Corollary.** Discrete BVP problem defining $T_h : L \rightarrow L_h$ is **well-posed**

Duality argument (1/2)

- Let $(f, g) \in L$
- Let $(H, E) := T(f, g) \in X_{\mu, 0}^c \times X_{\epsilon}^c$
- Let $(H_h, E_h) := T_h(f, g) \in X_{\mu, h0}^c \times X_{\epsilon, h}^c$

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- The goal is to prove that $\lim_{h \rightarrow 0} \|(\mu^{\frac{1}{2}} \delta h, \epsilon^{\frac{1}{2}} \delta e)\|_L = 0$ with the errors

$$\delta h := H - H_h, \quad \delta e := E - E_h$$

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- **Dual problem:** Find $(\eta, \varepsilon) \in X_0^c \times X^c$ s.t. (involution with constant properties!!)

$$-\nabla_0 \times \eta = \omega_D (I - \Pi_0^c)(\epsilon \delta e), \quad \nabla \times \varepsilon = \omega_D (I - \Pi_0^c)(\mu \delta h)$$

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- **Improved regularity shift, $s' \in (\frac{1}{2}, 1]$**

$$|\eta|_{H^{s'}} \lesssim \ell_D^{1-s'} \|\nabla_0 \times \eta\|_{L^2}, \quad |\varepsilon|_{H^{s'}} \lesssim \ell_D^{1-s'} \|\nabla \times \varepsilon\|_{L^2}$$

(Notice that $\ell_D(\mu_0^{\frac{1}{2}} \|\nabla_0 \times \eta\|_{L^2} + \epsilon_0^{\frac{1}{2}} \|\nabla \times \varepsilon\|_{L^2}) \lesssim \|(\mu^{\frac{1}{2}} \delta h, \epsilon^{\frac{1}{2}} \delta e)\|_L$)

Duality argument (2/2)

- Error representation

$$\omega_D \|(\mu^{\frac{1}{2}} \delta \mathbf{h}, \epsilon^{\frac{1}{2}} \delta \mathbf{e})\|_L^2 = \theta_{\text{app}} + \theta_{\text{gal}} + \theta_{\text{crl}} + \theta_{\text{div}}$$

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- **Approximation error:** $\theta_{\text{app}} := a_h((\delta \mathbf{h}, \delta \mathbf{e}), ((I - \Pi_h^b)(\boldsymbol{\eta}), (I - \Pi_h^b)(\boldsymbol{\varepsilon})))$

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 $\theta_{\text{gal}} := \omega_D \{((\Pi_0^c - \Pi_{h0}^c)(\mu \mathbf{f}), \boldsymbol{\eta}_h)_{L^2} + ((\Pi^c - \Pi_h^c)(\boldsymbol{\varepsilon} \mathbf{g}), \boldsymbol{\varepsilon}_h)_{L^2}\}$

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- **Curl commuting error:** ($\boldsymbol{\eta}, \boldsymbol{\varepsilon}$ are not polynomials!)
 $\theta_{\text{crl}} := \{(\mathbf{h}_h, \nabla \times \boldsymbol{\varepsilon})_{L^2} - (C_{h,0}^{k,\ell}(\mathbf{h}_h), \boldsymbol{\varepsilon})_{L^2}\} - \{(e_h, \nabla_0 \times \boldsymbol{\eta})_{L^2} - (C_h^{k,\ell}(e_h), \boldsymbol{\eta})_{L^2}\}$

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Duality argument (2/2)

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Duality argument (2/2)

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!! Thank you for your attention !!