

CARATHÉODORY, HELLY AND THE OTHERS IN THE MAX-PLUS WORLD

STÉPHANE GAUBERT AND FRÉDÉRIC MEUNIER

ABSTRACT. Carathéodory’s, Helly’s and Radon’s theorems are three basic results in discrete geometry. Their max-plus or tropical analogues have been proved by various authors. We show that more advanced results in discrete geometry also have max-plus analogues, namely, the colorful Carathéodory theorem and the Tverberg theorem. A conjecture connected to the Tverberg theorem – Sierksma’s conjecture –, although still open for the usual convexity, is shown to be true in the max-plus settings.

1. INTRODUCTION

Three basic theorems are at the origin of this new topic that is the discrete geometry of convex sets, namely, Carathéodory’s theorem, Helly’s theorem and Radon’s theorem. We state them here for the sake of completeness.

Theorem 1 (Carathéodory’s theorem). *Suppose given $n \geq d + 1$ points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n$ in \mathbb{R}^d and a point \mathbf{p} in $\text{conv}\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n\}$. Then there is a subset $I \subseteq \{1, \dots, n\}$ of cardinality $d + 1$ such that \mathbf{p} is in the convex hull of $\bigcup_{i \in I} \{\mathbf{x}_i\}$.*

Theorem 2 (Radon’s theorem). *Let X be a set of $d + 2$ points in \mathbb{R}^d . Then there are two pairwise disjoint subsets X_1 and X_2 of X whose convex hulls have a common point.*

Theorem 3 (Helly’s theorem). *Let \mathcal{F} be a finite collection of convex sets in \mathbb{R}^d . If every $d + 1$ members of \mathcal{F} have a nonempty intersection, then the whole collection has a nonempty intersection.*

Max-plus or tropical convexity arises when interpreting the notions of positive linear combination or of barycenter in the max-plus sense, meaning that the addition of scalars is replaced by the maximum and that the product of scalars is replaced by the addition.

This unusual convexity has been studied by several authors, with various motivations, including optimization (K. Zimmermann [Zim77]), calculus of variations and asymptotic analysis (Litvinov, Maslov, Shpiz [LMS01]), discrete event systems and optimal control (Cohen, Gaubert, Quadrat [CGQ01, CGQ04]), tropical geometry (Develin and Sturmfels [DS04]), and abstract convexity (Briec and Horvath [BH04], Nitica and Singer [NS07]).

Max-plus convexity is of special interest, because max-plus objects arise as limits of a deformation in which classical algebraic objects are looked at with logarithmic glasses [Vir01]. This deformation, which has been called “dequantization” by Maslov, by analogy with quasi-classics asymptotics, is at the origin of the current flourishing of tropical methods in algebraic geometry after the work of Viro [Vir01] and Mikhalkin [Mik05].

Several authors have obtained max-plus analogues of the previously mentioned discrete convexity theorems. The analogue of the Carathéodory theorem is mentioned by Helbig [Hel88] and Develin and Sturmfels [DS04]. The analogue of Radon’s theorem can be derived from results on max-plus linear independence by Gondran and Minoux [GM78, GM84] and M. Plus [Plu90] (see also [BCOQ92, § 3.4]), such a derivation was given by Butkovič [But03] in the special case of vectors with finite entries, and in [ABG06] in the general case. The max-plus Radon theorem can also be obtained from the classical Radon theorem by a deformation argument, as in the work of Briec and Horvath [BH04] who also established the max-plus analogue of Helly’s theorem using the same deformation idea. Gaubert and Sergeev [GS07] derived the max-plus Helly from a max-plus analogue of the theory of cyclic projections, leading to a direct combinatorial proof.

Other theorems have followed the ones of Carathéodory, Helly and Radon. In this paper, we show that these theorems also have max-plus versions.

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Section 2 is devoted to the max-plus version of the beautiful colorful Carathéodory theorem proved by Bárány [B82].

Theorem 4 (Colorful Carathéodory's theorem [B82]). *Suppose given $d+1$ finite point sets X_1, X_2, \dots, X_{d+1} and a point \mathbf{p} in \mathbb{R}^d such that the convex hull of each X_i contains \mathbf{p} , then there are $d+1$ points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}$ such that $\mathbf{x}_i \in X_i$ for each i and such that the point \mathbf{p} is the convex hull of the points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}$.*

In Section 3, we briefly survey the existing approaches to the max-plus Radon theorem, and we point out that the max-plus Helly theorem can be derived from it, as in the case of classical convexity.

Radon's theorem has a beautiful generalization, Tverberg's theorem. The max-plus version is proved in Section 4.

Theorem 5 (Tverberg's theorem [Tve66]). *Let X be a set of $(d+1)(q-1)+1$ points in \mathbb{R}^d . Then there are q pairwise disjoint subsets X_1, X_2, \dots, X_q of X whose convex hulls have a common point.*

The case $q=2$ reduces to the usual Radon theorem.

A natural question is about the number of these partitions into q subsets (each of these partition is called a *Tverberg partition*). A famous conjecture is the following one, also called the Dutch cheese conjecture, since Sierksma has offered a Dutch cheese for a solution of this problem.

Conjecture. (Sierksma's conjecture) Let $q \geq 2$, $d \geq 1$ and put $N = (d+1)(q-1)$. For every $N+1$ points in \mathbb{R}^d the number of unordered Tverberg partitions is at least $((q-1)!)^d$.

This conjecture is still open. One can naturally ask whether this conjecture holds in the max-plus setting. Surprisingly, it is possible to prove it in this case, with a quite simple proof. This is done in the last section of the paper.

Notation: Before starting, we introduce some notation. We denote by \mathbb{R}_{\max} the max-plus semiring, which consists of the elements of $\mathbb{R} \cup \{-\infty\}$. Capital letters will represent sets or collections, bold symbols (like \mathbf{x}) will represent vectors or points of an affine space, whereas scalars will be denoted with the usual typography.

If λ is in \mathbb{R}_{\max} and $\mathbf{x} = \begin{pmatrix} x_1 \\ \vdots \\ x_d \end{pmatrix}$, then $\lambda + \mathbf{x}$ will denote $\begin{pmatrix} \lambda + x_1 \\ \vdots \\ \lambda + x_d \end{pmatrix}$.

The usual convex hull of the points $\mathbf{x}_1, \dots, \mathbf{x}_n$ in \mathbb{R}^d is denoted by $\text{conv}\{\mathbf{x}_1, \dots, \mathbf{x}_n\}$ and the max-plus convex hull of the points $\mathbf{x}_1, \dots, \mathbf{x}_n$ in \mathbb{R}_{\max}^d by $\text{mpconv}\{\mathbf{x}_1, \dots, \mathbf{x}_n\}$. The latter set consists of the max-plus convex combinations of the points $\mathbf{x}_1, \dots, \mathbf{x}_n$, which are the points of the form $\max_{i=1, \dots, n}(\lambda_i + \mathbf{x}_i)$, for some scalars $\lambda_1, \dots, \lambda_n$ in \mathbb{R}_{\max} such that $\max_{i=1, \dots, n} \lambda_i = 0$. Note that the former maximum which applies to the vectors $\lambda_i + \mathbf{x}_i$ is understood entrywise (for each of the d components, one takes the maximum of the n possible distinct values).

2. THE COLORFUL CARATHÉODORY THEOREMS

Before considering the max-plus analogues of the colorful Carathéodory theorem, we restate the classical result in a slightly generalized form, straightforwardly derived from the classical one.

Theorem 6. *Suppose given $d+1$ finite point sets X_1, X_2, \dots, X_{d+1} and a convex set C in \mathbb{R}^d such that the convex hull of each X_i intersects C . Then there are $d+1$ points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}$ such that $\mathbf{x}_i \in X_i$ for each i and such that $\text{conv}\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}\}$ intersects the convex C .*

Proof. For $i \in [d+1]$, one has $\mathbf{c}_i \in C$ such that $\mathbf{c}_i \in \text{conv}(X_i)$. Hence one has $\mathbf{0} \in \text{conv}(X'_i)$ where $X'_i := \{\mathbf{x} - \mathbf{c}_i : \mathbf{x} \in X_i\}$. Applying Theorem 4 to the sets X'_1, \dots, X'_{d+1} and the point $\mathbf{p} := \mathbf{0}$ leads to the existence of $d+1$ points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}$ such that $\mathbf{x}_i \in X_i$ for each i and such that $\mathbf{0} \in \text{conv}\{\mathbf{x}_1 - \mathbf{c}_1, \dots, \mathbf{x}_{d+1} - \mathbf{c}_{d+1}\}$. Hence, $\text{conv}\{\mathbf{c}_1, \dots, \mathbf{c}_{d+1}\} \cap \text{conv}\{\mathbf{x}_1, \dots, \mathbf{x}_{d+1}\} \neq \emptyset$, and so $\text{conv}\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}\}$ intersects the convex C . \square

Although the max-plus analogue of the classical form of the colorful Carathéodory theorem has a very simple proof, the generalized version with the convex set C instead of the point \mathbf{p} will need in the max-plus setting more advanced tools. Indeed, the reduction in the latter proof does not carry over to the max-plus case, because the difference of vectors is no longer meaningful.

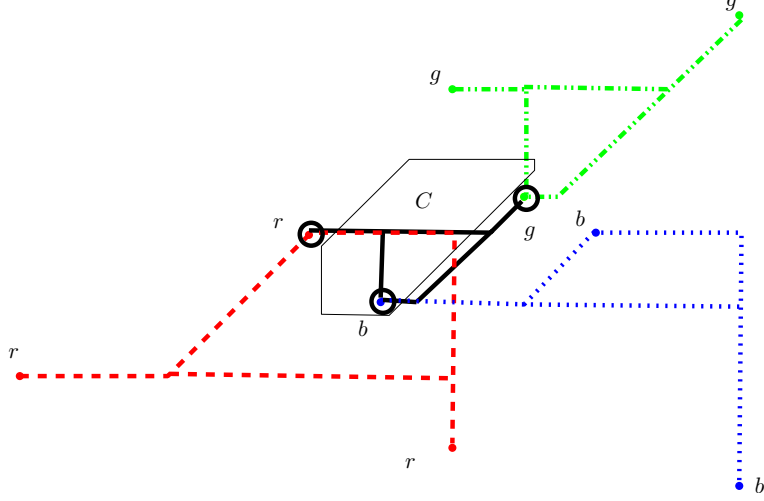


FIGURE 1. Illustration of the max-plus generalized colorful Carathéodory theorem, in dimension 2. There are three point sets: X_r – whose points are labelled with r –, X_g – whose points are labelled with g – and X_b – whose points are labelled with b .

We first consider the max-plus analogue of Theorem 4.

Theorem 7 (Max-plus colorful Carathéodory’s theorem, weak form). *Suppose given $d + 1$ finite point sets X_1, X_2, \dots, X_{d+1} and a point \mathbf{p} in \mathbb{R}_{\max}^d such that the max-plus convex hull of each X_i contains \mathbf{p} . Then there are $d + 1$ points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}$ such that $\mathbf{x}_i \in X_i$ for each i and such that $\text{mpconv}\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}\}$ contains the point \mathbf{p} .*

Proof. The point $\mathbf{p} = (p_1, \dots, p_d)$ can be written as

$$(1) \quad \mathbf{p} = \max_{j \in [d+1]} (\lambda_j + \mathbf{y}^{(j)}) ,$$

where $\lambda_1, \dots, \lambda_{d+1} \in \mathbb{R}_{\max}$, $\max_{j \in [d+1]} \lambda_j = 0$, and $\mathbf{y}^{(1)}, \dots, \mathbf{y}^{(d+1)} \in X_1$. Reading the former equality only for the first component, we find an index j such that the first component of $\lambda_j + \mathbf{y}^{(j)}$ is equal to p_1 . Define \mathbf{x}_1 to be this $\mathbf{y}^{(j)}$ and μ_1 to be the corresponding λ_j . Note that one has $\mu_1 + \mathbf{x}_1 \leq \mathbf{p}$ (componentwise), with equality for the first component.

The same argument allows us to find, for every $i \geq 2$ up to $i = d$, a scalar μ_i and a vector $\mathbf{x}_i \in X_i$ in such a way then $\mu_i + \mathbf{x}_i \leq \mathbf{p}$, with equality for the i th component. Finally, we write again a decomposition of the form (1) in which every vector $\mathbf{y}^{(j)}$ belongs to X_{d+1} , but this time, we choose $\mathbf{x}_{d+1} \in X_{d+1}$ to be the vector $\mathbf{y}^{(j)}$ such that $\lambda_j = 0$ and we set $\mu_{d+1} := 0$. We still have $\mu_{d+1} + \mathbf{x}_{d+1} \leq \mathbf{p}$.

Thus, we constructed $d + 1$ points $\mathbf{x}_i \in X_i$ for $i \in [d + 1]$ such that $\max_{i \in [d+1]} \mu_i = 0$ and

$$\mathbf{p} = \max_{i \in [d+1]} (\mu_i + \mathbf{x}_i) .$$

□

Theorem 8 (Max-plus colorful Carathéodory’s theorem, strong form). *Suppose given $d + 1$ finite point sets X_1, X_2, \dots, X_{d+1} and a max-plus convex set C in \mathbb{R}_{\max}^d such that the max-plus convex hull of each X_i intersects C . Then there are $d + 1$ points $\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}$ such that $\mathbf{x}_i \in X_i$ for each i and such that $\text{mpconv}\{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_{d+1}\}$ intersects the max-plus convex set C .*

Figure 2 is an illustration of this theorem.

To prove this theorem, we shall make use of the following lemma:

Lemma 1. *Consider an $n \times m$ matrix $A = (a_{i,j})$ with entries in \mathbb{R}_{\max} . If $m \geq n$, then, for each column i of A , it is possible to choose $\lambda_j \in \mathbb{R}_{\max}$ and add it to each entry of this column so that the n row maxima*

of the new matrix are attained in n positions which can be chosen in different columns. Moreover one can satisfy the additional requirement $\max_{j=1,\dots,d+1} \lambda_j = 0$.

Proof. The proof works by induction on n . If $n = 1$, there is nothing to prove. Hence suppose that $n > 1$. Consider the bipartite graph G whose color classes are $W := [n]$ (the rows of the matrix A) and $U := [m]$ (the columns of the matrix A) and whose edges are those couples (i, j) such that $a_{i,j} \neq -\infty$. Define the weight of the corresponding edge to be the real number $a_{i,j}$. Let us first assume that G has at least one matching of cardinality n , which we may assume to match W with the set $[n]$ of the first n vertices of U . Let us consider the problem of finding a maximal weight perfect matching between these two sets of vertices. The dual linear programming problem reads

$$\min \sum_{1 \leq i \leq n} u_i + \sum_{1 \leq j \leq n} v_j, \quad \mathbf{u} = (u_i), \mathbf{v} = (v_j) \in \mathbb{R}^n, \quad u_i + v_j \geq a_{ij}, \quad 1 \leq i, j \leq n.$$

The duality theorem in linear programming shows that this problem has an optimal solution, \mathbf{u}, \mathbf{v} , which, by complementary slackness, is such that $u_i + v_j = a_{ij}$ for every edge (i, j) belonging to a perfect matching of maximal weight. Moreover, since adding the same constant to the entries of \mathbf{u} and subtracting it to the entries of \mathbf{v} does not affect the optimality of \mathbf{u}, \mathbf{v} , we may assume that $\min_{1 \leq j \leq n} v_j = 0$. Then, the weights $\lambda_j := -v_j$ for $j \in [n]$ and $\lambda_j := -\infty$ for $j > n$ have the desired properties.

Let us finally assume that there is no matching of cardinality n . By Hall's marriage theorem, there is a subset X of U such that $|N(X)| < |X| \leq n$ (where $N(X)$ denotes the neighborhood of X in G). Applying the induction hypothesis to the matrix A restricted to the columns X and rows $N(X)$, we obtain the values of λ_j for $j \in X$. Define λ_j to be $-\infty$ on the other columns of A . We easily check the required properties. \square

Proof of Theorem 8. For each i , we choose a point $\mathbf{b}^{(i)} = \begin{pmatrix} b_1^{(i)} \\ \vdots \\ b_d^{(i)} \end{pmatrix}$ in $C \cap \text{mpconv}(X_i)$. Define $\bar{\mathbf{b}}^{(i)}$ to be $\begin{pmatrix} \mathbf{b}^{(i)} \\ 0 \end{pmatrix}$ and A to be the $(d+1) \times (d+1)$ matrix $(\bar{\mathbf{b}}^{(1)} \dots \bar{\mathbf{b}}^{(d+1)})$.

Applying Lemma 1 to this matrix A , we get that there is a point \mathbf{p} of C such that

$$\bar{\mathbf{p}} := \begin{pmatrix} \mathbf{p} \\ 0 \end{pmatrix} = \max_{i=1,\dots,d+1} (\lambda_i + \bar{\mathbf{b}}^{(i)}),$$

and such that each component is attained for a different i .

Now, for each i , as $\mathbf{b}^{(i)}$ is a max-plus convex combination of points in X_i , one has

$$\bar{\mathbf{b}}^{(i)} = \max_{h=1,\dots,d+1} (\mu_h^{(i)} + \bar{\mathbf{a}}_h^{(i)}), \quad \text{with } \mathbf{a}_h^{(i)} \in X_i \quad \text{and} \quad \bar{\mathbf{a}}_h^{(i)} := \begin{pmatrix} \mathbf{a}_h^{(i)} \\ 0 \end{pmatrix} \quad \text{for all } i, h.$$

There is an index i such that the first component of $\lambda_i + \bar{\mathbf{b}}^{(i)}$ is equal to the first one of $\bar{\mathbf{p}}$. Moreover, $\lambda_i + \bar{\mathbf{b}}^{(i)} \leq \bar{\mathbf{p}}$ (componentwise). Next, for this i , there is a $h(i) \in [d+1]$ such that $\mu_{h(i)}^{(i)} + \bar{\mathbf{a}}_{h(i)}^{(i)} \leq \bar{\mathbf{b}}^{(i)}$ with equality on the first component. Hence, one has $\lambda_i + \mu_{h(i)}^{(i)} + \bar{\mathbf{a}}_{h(i)}^{(i)} \leq \bar{\mathbf{p}}$ with equality on the first component.

We choose in the same way the index $h(i)$ for the different values of the column index i arising by considering indices attaining the row maxima in A . These indices i have been chosen to be all distinct a few lines above, and so

$$\max_{i=1,\dots,d+1} (\lambda_i + \mu_{h(i)}^{(i)} + \bar{\mathbf{a}}_{h(i)}^{(i)}) = \bar{\mathbf{p}}.$$

This can be rewritten as

$$\max_{i=1,\dots,d+1} (\lambda_i + \mu_{h(i)}^{(i)} + \mathbf{a}_{h(i)}^{(i)}) = \mathbf{p}$$

where $\mathbf{a}_{h(i)}^{(i)}$ is a point of X_i for each $i \in [d+1]$ and $\max_{i=1,\dots,d+1} (\lambda_i + \mu_{h(i)}^{(i)}) = 0$. Define then $\mathbf{x}_i := \mathbf{a}_{h(i)}^{(i)}$. The point \mathbf{p} belongs to C and is a max-plus convex combination of the points $\mathbf{x}_i \in X_i$, as required. \square

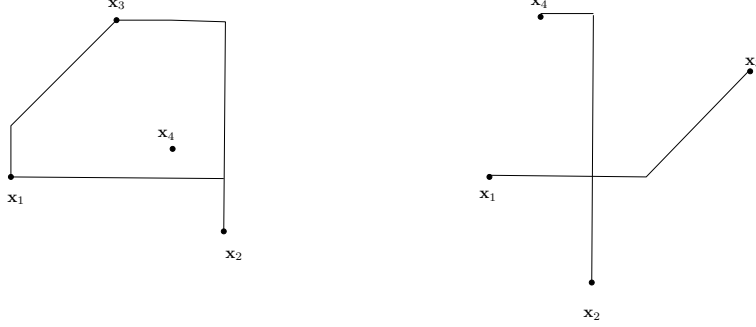


FIGURE 2. Illustration of the max-plus Radon theorem in dimension 2.

3. RADON'S AND HELLY'S THEOREMS

The max-plus Radon theorem is :

Theorem 9 (Max-plus Radon's theorem [But03], [BH04], [ABG06, p. 13]). *Let X be a set of $d + 2$ points in \mathbb{R}_{\max}^d . Then there are two pairwise disjoint subsets X_1 and X_2 of X whose max-plus convex hulls have a common point.*

An illustration is given in Figure 3.

We next briefly discuss different approaches to this result which have appeared in the literature or which can be derived from it. The first one, relying on a deformation argument, will give more insight on the proof of the max-plus Tverberg theorem that we give in the next section.

Consider the map E_β sending a vector $\mathbf{x} = (x_i) \in \mathbb{R}_{\max}^d$ to the vector of \mathbb{R}^d with coordinates $\exp(\beta x_i)$, where $\beta > 0$ is a scaling parameter. We introduce, following Maslov [Mas87], the addition $\mathbf{x} +_\beta \mathbf{y} := E_\beta^{-1}(E_\beta(\mathbf{x}) + E_\beta(\mathbf{y}))$, for $\mathbf{x}, \mathbf{y} \in \mathbb{R}_{\max}^d$, which is such that $\mathbf{x} +_\beta \mathbf{y}$ converges to $\max(\mathbf{x}, \mathbf{y})$ uniformly in \mathbf{x} and \mathbf{y} as $\beta \rightarrow \infty$. This suggests to define a β -convex combination of a set of vectors $X = \{\mathbf{x}_1, \dots, \mathbf{x}_n\}$ to be an element of the form $(\mu_1 + \mathbf{x}_1) +_\beta \dots +_\beta (\mu_n + \mathbf{x}_n)$ where $\mu_1, \dots, \mu_n \in \mathbb{R} \cup \{-\infty\}$ are such that $\mu_1 + \beta \dots + \beta \mu_n = 0$. The β -convex hull $\text{co}_\beta(X)$ is defined as the set of all such combinations, it coincides with the image by the map E_β^{-1} (the “logarithmic glasses”) of the classical convex hull of the set $E_\beta(X)$. The same deformation is of fundamental importance in tropical geometry [Vir01, Mik05, RGST05]. Bricc and Horvath considered in [BH04] and equivalent deformation. Their result shows that the upper limit in the Painlevé-Kuratovski sense of $\text{co}_\beta(X)$ as $\beta \rightarrow \infty$ is precisely the max-plus convex hull of the finite set X . Then, the max-plus Radon theorem follows readily from the classical one.

An alternative approach, originating from the work of Gondran and Minoux [GM78, GM84] and by M. Plus [Plu90], gives a combinatorial information on the Radon's partitions. We shall consider the equivalent conic version of the result. Define the positive (max-plus) determinant of a $n \times n$ matrix $B = (b_{ij})$ as the maximum of the sums $\sum_{1 \leq i_1 < \dots < i_n \leq n} b_{i\sigma(i)}$ over all even permutations σ . The negative determinant is defined by taking the odd permutations instead of the even ones.

Every combinatorial identity in rings is known to have a semiring analogue when written “without minus sign”, see [RS84, Plu90, ABG06]. In particular, for every family $\mathbf{x}_1, \dots, \mathbf{x}_{d+1}$ of vectors of dimension d with entries in a commutative ring, the homogeneous form of the Cramer formula shows that $D_1 \mathbf{x}_1 - D_2 \mathbf{x}_2 + \dots = 0$ where the alternated sum has $d + 1$ terms, and for all $1 \leq i \leq d + 1$, D_i denotes the determinant of the matrix X_i with columns $\mathbf{x}_1, \dots, \mathbf{x}_{i-1}, \mathbf{x}_{i+1}, \dots, \mathbf{x}_{d+1}$.

The general method of [RS84] (see also [GM84, Plu90, ABG06]) can be used to show that the following max-plus version of the latter combinatorial identity is valid:

$$(2) \quad \max(D_1^+ + \mathbf{x}_1, D_2^- + \mathbf{x}_2, \dots) = \max(D_1^- + \mathbf{x}_1, D_2^+ + \mathbf{x}_2, \dots)$$

where D_i^+ (resp. D_i^-) denotes the positive (resp. negative) max-plus determinant of the matrix X_i , and each maximum comprises $d + 1$ terms. For generic values of the entries of the vectors $\mathbf{x}_1, \dots, \mathbf{x}_{d+1}$, we have $D_i^+ \neq D_i^-$ for all i . Then, define I^+ to be set consisting of the odd indices from which $D_i^+ > D_i^-$ and of the even indices for which $D_i^- > D_i^+$, define I^- in the symmetric way, and set $D_i = \max(D_i^+, D_i^-)$. Then, for

every entry of the vector maximum at the left hand-side of (2), the maximum must necessarily be attained by some i th term with $i \in I^+$. The same is true for the right-hand side, with I^- , and so

$$(3) \quad \max_{i \in I^+} (D_i + \mathbf{x}_i) = \max_{i \in I^-} (D_i + \mathbf{x}_i) .$$

Thus (I^+, I^-) is a conic Radon partition for the family $\mathbf{x}_1, \dots, \mathbf{x}_{d+1}$. The general case can be obtained from the generic one by an immediate density argument.

The identity (3) is intimately related to the two distinct max-plus or tropical analogues of the Cramer formula given by M. Plus [Plu90] (see also [BCOQ92, § 3.4]) and Richter-Gebert, Sturmfels, and Theobald [RGST05]. It may also be derived from a result of Gondran and Minoux [GM78, GM84] on max-plus linear independence, as shown by Butkovič [But03] (under the minor restriction that the vectors \mathbf{x}_i have finite entries) and by Akian, Bapat, and Gaubert [ABG06, p. 13].

We note that computing the max-plus ‘‘Cramer permanent’’ D_i is equivalent to solving an optimal assignment problem. Richter-Gebert, Sturmfels, and Theobald [RGST05], following an earlier idea of Sturmfels and Zelevinsky [SZ93], showed that all the max-plus Cramer permanents D_1, \dots, D_{d+1} can be computed simultaneously up to an additive constant, by solving a single transportation problem, under some non-degeneracy condition. We also note that checking whether $D_i^+ = D_i^-$ reduces to finding an elementary even cycle in a digraph, as shown by Butkovič [But95].

We next point out that Helly’s theorem can be straightforwardly derived from Radon theorem, as in the case of classical convexity. The max-plus version was first proved by Briec and Horvath in [BH04], by exploiting the deformation method above, and by Gaubert and Sergeev, as a consequence of their work on cyclic projections [GS07].

Theorem 10 (Max-plus Helly’s theorem [BH04, GS07]). *Let \mathcal{F} be a finite collection of max-plus convex sets in \mathbb{R}_{\max}^d . If every $d + 1$ members of \mathcal{F} have a nonempty intersection, then the whole collection have a nonempty intersection.*

Proof. Let C_1, \dots, C_n be n max-plus convex sets in \mathbb{R}_{\max}^d and suppose that whenever $d + 1$ sets among them are selected, they have a nonempty intersection. The proof works by induction on n . We first assume that $n = d + 2$. Define \mathbf{x}_i to be a point in $\bigcap_{j=1, j \neq i}^{d+2} C_j$. We have then $d + 2$ points $\mathbf{x}_1, \dots, \mathbf{x}_{d+2}$. If two of them are equal, then this point is in the whole intersection. Hence, we can assume that all the \mathbf{x}_i are different. By the max-plus Radon theorem, we have two disjoint subsets S and T partitioning $[d + 2]$ such that there is a point \mathbf{x} in $\text{mpconv}(\cup_{i \in S} \mathbf{x}_i) \cap \text{mpconv}(\cup_{i \in T} \mathbf{x}_i)$. This point \mathbf{x} belongs to every C_i .

Indeed, take $j \in [d + 2]$, which is either in S or in T . Suppose without loss of generality that j is in S . Then, $\text{mpconv}(\cup_{i \in T} \mathbf{x}_i)$ is included in C_j , and so $\mathbf{x} \in C_j$. The case $n = d + 2$ is proved.

Suppose now that $n > d + 2$ and that the theorem is proved up to $n - 1$. Define $C'_{n-1} := C_{n-1} \cap C_n$. When $d + 2$ max-plus convex sets C_i are selected, they have a nonempty intersection, according to what we have just proved. Hence, every $d + 1$ members of the collection $C_1, \dots, C_{n-2}, C'_{n-1}$ have a nonempty intersection. By induction, the whole collection has a nonempty intersection. \square

4. TVERBERG’S THEOREM

We have a Tverberg theorem in the max-plus framework:

Theorem 11 (Max-plus Tverberg’s theorem). *Let X be a set of $(d + 1)(q - 1) + 1$ points in \mathbb{R}_{\max}^d . Then there are q pairwise disjoint subsets X_1, X_2, \dots, X_q of X whose max-plus convex hulls have a common point.*

Figure 4 illustrates this theorem for $d = 2, q = 3$. The partition emphasized is $X_1 = \{\mathbf{x}_1, \mathbf{x}_5\}$, $X_2 = \{\mathbf{x}_2, \mathbf{x}_4, \mathbf{x}_7\}$ and $X_3 = \{\mathbf{x}_3, \mathbf{x}_6\}$.

To prove this theorem, we will combine the technique used in the proof of the max-plus Radon theorem above — identification of maximum powers in finite series —, with the beautiful ideas introduced by Sarkaria [Sar92] and streamlined by Bárány and Onn [BO97] and Matoušek [Mat02] to prove the (usual non-max-plus) Tverberg theorem.

Proof. Put $N := (d + 1)(q - 1)$. We prove the conic version. The convex version is then straightforwardly derived by adding a $d + 1$ th component equal to 0 to each point. The conic version is: *Let $X = \{\mathbf{a}_1, \dots, \mathbf{a}_{N+1}\}$ be a set of $N + 1$ points in $\mathbb{R}_{\max}^{d+1} \setminus \{(\infty, \dots, -\infty)\}$. Then there are q pairwise disjoint subsets X_1, X_2, \dots, X_q*

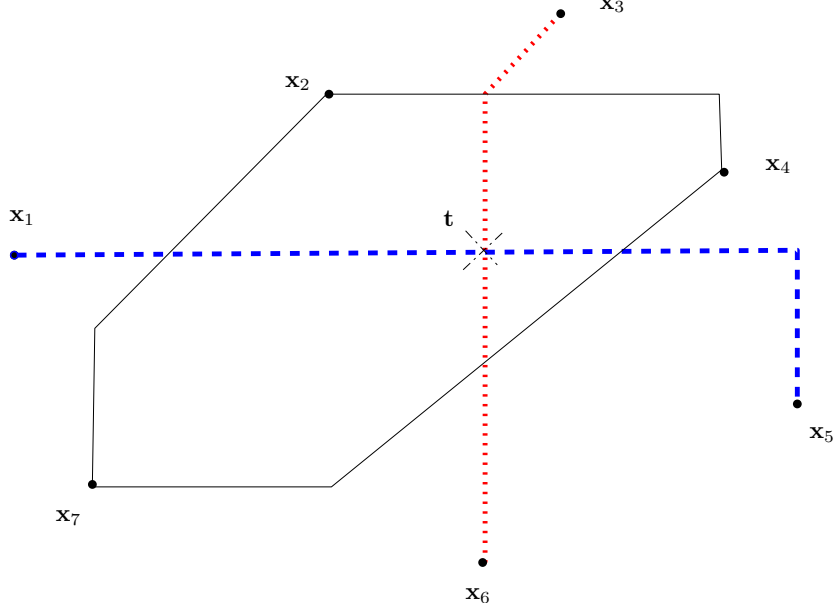


FIGURE 3. Max-plus Tverberg theorem for $d = 2$ and $q = 3$.

of X whose max-plus conic hulls have a common point $\neq (-\infty, \dots, -\infty)$.

Write $\mathbf{a}_i = \begin{pmatrix} a_{1,i} \\ \vdots \\ a_{d+1,i} \end{pmatrix}$.

Define linear maps $\phi_j : \mathbb{R}^{d+1} \rightarrow \mathbb{R}^{(d+1)(q-1)}$ for $j \in [q]$ via

$$\phi_j(\mathbf{y}) = (\mathbf{0}, \dots, \mathbf{0}, \mathbf{y}, \mathbf{0}, \dots, \mathbf{0}) \in (\mathbb{R}^{d+1})^{q-1}, \quad \text{for } j < q, \quad \mathbf{0} \in \mathbb{R}^{d+1} \quad \text{and} \quad \mathbf{y} \in \mathbb{R}^{d+1},$$

where \mathbf{y} is in j th position. Moreover, set $\phi_q(\mathbf{y}) = (-\mathbf{y}, -\mathbf{y}, \dots, -\mathbf{y})$ for $\mathbf{y} \in \mathbb{R}^{d+1}$.

For any $\mathbf{y} \in \mathbb{R}^{d+1}$, we have $\mathbf{0} \in \text{conv} \{\phi_1(\mathbf{y}), \dots, \phi_q(\mathbf{y})\}$, in particular for every $i = 1, \dots, N+1$ we have

$$\mathbf{0} \in \text{conv} \{\phi_1(\boldsymbol{\alpha}_i(u)), \dots, \phi_q(\boldsymbol{\alpha}_i(u))\} \text{ where } \boldsymbol{\alpha}_i(u) = \begin{pmatrix} u^{a_{1,i}} \\ \vdots \\ u^{a_{d+1,i}} \end{pmatrix}, \text{ for any real } u \text{ (we set } u^{-\infty} := 0).$$

Suppose first u fixed. We can apply the colorful Carathéodory theorem to the sets of points $\tilde{X}_1, \dots, \tilde{X}_{N+1}$, where $\tilde{X}_i := \{\phi_1(\boldsymbol{\alpha}_i(u)), \dots, \phi_q(\boldsymbol{\alpha}_i(u))\}$. Indeed, we have $\mathbf{0} \in \text{conv}(\tilde{X}_i)$ for each i and we are in \mathbb{R}^N . We get that there exists j_1, j_2, \dots, j_{N+1} in $[q]$ and non-negative real numbers μ_1, \dots, μ_{N+1} summing up to 1 such that

$$\mathbf{0} = \sum_{i=1}^{N+1} \mu_i \phi_{j_i}(\boldsymbol{\alpha}_i(u)).$$

The j_i depend on u , of course, but since there are only a finite number of possible choices, we get that there exists j_1, j_2, \dots, j_{N+1} in $[q]$ and functions $\mu_1(u), \dots, \mu_{N+1}(u)$ summing up to 1 such that

$$(4) \quad \mathbf{0} = \sum_{i=1}^{N+1} \mu_i(u) \phi_{j_i}(\boldsymbol{\alpha}_i(u)).$$

The $\mu_i(u)$ are solutions of a system of linear equations. Hence there can be chosen of the form

$$\frac{\sum \gamma_k u^{\beta_k}}{b(u)},$$

with the same denominator $b(u)$, which is the determinant of the largest invertible subsystem. Define $S_l := \{i \in [q] : j_i = l \text{ and } \mu_i(u) \text{ is not constant} = 0\}$ (the set of indices i such that $j_i = l$ and $\mu_i \neq 0$).

Using the definition of the ϕ_j , we can translate Equation (4):

$$\sum_{i \in S_1} \mu_i(u) \begin{pmatrix} u^{a_{1,i}} \\ \vdots \\ u^{a_{d+1,i}} \end{pmatrix} = \dots = \sum_{i \in S_q} \mu_i(u) \begin{pmatrix} u^{a_{1,i}} \\ \vdots \\ u^{a_{d+1,i}} \end{pmatrix}.$$

Define λ_i as the largest β_k such that γ_k is non-zero in $\mu_i(u)$ (because they sum up to 1, all the S_i are non-empty). Reading this equality only for the maximum powers leads to the equality

$$\max_{i \in S_1} (\lambda_i + \mathbf{a}_i) = \dots = \max_{i \in S_q} (\lambda_i + \mathbf{a}_i), \quad \text{where the } S_l, l = 1, \dots, q \text{ are disjoint subsets of } [q].$$

The λ_i are not all equal to $-\infty$, for the $\mu_i(u)$ sum up to 1 and by assumption, each \mathbf{a}_i has a component $\neq -\infty$. The conclusion follows. □

5. DUTCH CHEESE CONJECTURE

We finish the article with the max-plus version of Sierksma's conjecture, which turns out to be a theorem.

Theorem 12. *Let $q \geq 2$, $d \geq 1$ and put $N = (d + 1)(q - 1)$. For every $N + 1$ points in \mathbb{R}_{\max}^d the number of unordered max-plus Tverberg partitions is at least $((q - 1)!)^d$.*

For instance, if $d = 2$ and $q = 3$, this theorem says that we have at least 4 partitions. We can check this assertion in the particular case given in Figure 4. One partition is emphasized. There must be three others. Indeed,

$$X_1 = \{\mathbf{x}_1, \mathbf{x}_5\}, X_2 = \{\mathbf{x}_2, \mathbf{x}_6\}, X_3 = \{\mathbf{x}_3, \mathbf{x}_4, \mathbf{x}_7\},$$

$$X_1 = \{\mathbf{x}_1, \mathbf{x}_4\}, X_2 = \{\mathbf{x}_3, \mathbf{x}_6\}, X_3 = \{\mathbf{x}_2, \mathbf{x}_5, \mathbf{x}_7\},$$

$$X_1 = \{\mathbf{x}_1, \mathbf{x}_4\}, X_2 = \{\mathbf{x}_2, \mathbf{x}_6\}, X_3 = \{\mathbf{x}_3, \mathbf{x}_5, \mathbf{x}_7\}$$

are three other Tverberg partitions.

To prove this theorem, we will use a purely combinatorial result – Corollary 1 below – concerning a partition of a color class of a bipartite graph. It has in a sense a “Tverberg” nature. To prove this result, it is useful to prove the following theorem.

For a graph $G = (V, E)$, let us denote by $N(X)$ the neighborhood of X , that is the set of vertices in $V \setminus X$ having at least one neighbor in X .

Theorem 13. *Let G be a bipartite graph with color classes U and W and no isolated vertices, and let q be a positive integer. If $|U| \geq (q - 1)|W| + 1$, then there are q disjoint subsets U_1, \dots, U_q of U such that $N(U_1) = N(U_2) = \dots = N(U_q)$. Moreover, there are at least $((q - 1)!)^{|N(U_1)| - 1}$ distinct ways of choosing these q subsets (one does not take the order into account).*

The first part of this theorem, i.e. the existence of the q subsets, was already proved by Lindström [Lin70] and by Tverberg with another proof [Tve71]. The bound on the number of ways of choosing these q subsets is new.

Corollary 1. *Let $G = (V, E)$ be a bipartite graph whose color classes are U and W . Suppose that for all $Y \subseteq W$, $Y \neq \emptyset$, one has*

$$|N(Y)| \geq (q - 1)|Y| + 1. \quad (*)$$

Then U can be partitioned into q subsets U_1, \dots, U_q such that for all $i \in [q]$ one has $N(U_i) = W$. Moreover, there are at least $((q - 1)!)^{|W| - 1}$ distinct partitions satisfying this property (one does not take the order into account).

Proof of Theorem 13. The proof works by induction on $|U|$. If $|U| = q$, the theorem is clearly true. Hence, let $|U| \geq q + 1$. We can assume that for all $X \subsetneq U$, we have $|X| \leq (q - 1)|N(X)|$ (if not apply induction). We will prove that there exists a partition $U_1, \dots, U_q \subseteq U$ such that $N(U_i) = W$ for all $i = 1, \dots, q$, and explains why in this case $((q - 1)!)^{|W|-1}$ is a lower bound of the distinct ways of choosing these q subsets, when we do not take the order into account.

Choose a subset $U' \subseteq U$ of cardinality $(q - 1)|W|$. We can apply Hall's marriage theorem and get a subset of edges $F \subseteq E$ such that $\deg_F(w) = q - 1$ for each $w \in W$ and $\deg_F(u) = 1$ for each $u \in U'$ (make $q - 1$ copies of each vertex w of W to see it). Note that there is no subset $A \neq \emptyset$ of W such that $|N(A)| \leq (q - 1)|A|$, otherwise we would have a subset $X := U \setminus N(A) \subseteq U$ such that $(q - 1)|N(X)| < |X|$ since $N(X) \subseteq W \setminus A$. Hence, it is possible to find an order $w_1, \dots, w_{|W|}$ of the vertices of W such that

$$N(w_i) \cap (Y_1 \cup \dots \cup Y_{i-1} \cup (U \setminus U')) \neq \emptyset \quad \text{for all } i = 1, \dots, |W|, \quad (**)$$

where Y_j denotes the neighbors of w_j in F . In the case when $i = 1$, we require simply that $N(w_1) \cap (U \setminus U') \neq \emptyset$.

We define now the U_i in order to have $N(U_i) = W$ for $i = 1, \dots, q$ by adding vertices. Start with $U_1 = \dots = U_q = \emptyset$.

Add $U \setminus U'$ to U_1 . Put the $q - 1$ vertices of Y_1 respectively in U_2, \dots, U_q . The vertex w_1 is now in the neighborhood of U_1, \dots, U_q . Process $w_2, \dots, w_{|W|}$ in this order. The processing of w_i consists first in finding the index j^* such that w_i is already in $N(U_{j^*})$. Such a j^* exists because of property (**). Second, it consists in adding to each of the U_j , except for $j = j^*$, one of the $q - 1$ vertices of Y_i . This ensures that when the processing of w_i is finished, w_i is in the neighborhood of U_1, \dots, U_q . Since all vertices of W are eventually processed, we get $N(U_1) = \dots = N(U_q) = W$.

It is easy to see why the lower bound on the number of ways of choosing these q subsets is true. Indeed, there is $q!$ ways of processing the vertex w_1 : the subset $U \setminus U'$ can be added to either subset U_j and the vertices of Y_1 to the $q - 1$ other subsets U_j in any order. For each of the vertices w_i , there are $(q - 1)!$ ways for adding the vertices of Y_i to each of the remaining U_j . We get $q!((q - 1)!)^{|W|-1}$ different ways. Since we do not take the order into account, we have the required lower bound. \square

Proof of Corollary 1. Apply Theorem 13. We get q disjoint subsets $U_1^{(1)}, \dots, U_q^{(1)}$ having the same neighborhood in W . Define $U' := U \setminus \bigcup_{i=1}^q U_i^{(1)}$ and $W' := W \setminus N(U_1^{(1)})$. For all $Y \subseteq W'$, we have $N(Y) \subseteq U'$. Hence we can apply Theorem 13 on the subgraph induced by $U' \cup W'$, and get $U_1^{(2)}, \dots, U_q^{(2)}$ disjoint subsets of U' having the same neighborhood in W' . And so on. At the end, just define U_i to be the union of all $U_i^{(j)}$ defined through this process, for each $i = 1, \dots, q$.

The lower bound for the number of distinct partitions is easily derived. \square

We will soon prove Theorem 12. In the proof, we will need to prove that we have a condition that translates into condition (*) of Corollary 1. This is done by the following lemma:

Lemma 2. *For a set $X = \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_n\}$ of generic points in \mathbb{R}_{\max}^{d+1} , if $n \leq (d + 1)(q - 1)$, then there is no max-plus conic Tverberg partition into q disjoint subsets.*

Proof. The proof works by contradiction. Suppose that we have a max-plus conic Tverberg partition X_1, \dots, X_q . Define \mathbf{x} to be a Tverberg point, that is a point in the common intersections of the conic hulls of the X_i . Define moreover λ_i to be the coefficient of \mathbf{x}_i in the Tverberg partition.

Consider the graph $H = (V, E)$ where $V := X$ and there is an edge between \mathbf{x}_i and \mathbf{x}_j if the following two conditions are satisfied: (i) \mathbf{x}_i and \mathbf{x}_j are in two consecutive subsets, that is there is an $l \in \{1, \dots, q\}$ such that $\mathbf{x}_i \in X_l$ and $\mathbf{x}_j \in X_{l+1}$, and (ii) $\lambda_i + \mathbf{x}_i$ and $\lambda_j + \mathbf{x}_j$ coincide in at least one coordinate. Parallel edges are allowed.

For each coordinate, H gets at least $q - 1$ edges. Hence H has at least $(d + 1)(q - 1) \geq n$ edges, and thus has at least one cycle C . Without loss of generality, let $C := (\mathbf{x}_1, \dots, \mathbf{x}_c)$, in this order, where c is the size of the cycle. We write $\mathbf{x}_i := (x_{1,i}, \dots, x_{d+1,i})$, and define $j(i)$ the coordinate such that $\lambda_i + x_{i,j(i)} = \lambda_{i+1} + x_{i+1,j(i)}$. Summing the left and right-hand-side of the equality leads to the following equality:

$$\sum_{i \in C} x_{i,j(i)} = \sum_{i \in C} x_{i+1,j(i)}.$$

Since $j(1), j(2), \dots, j(c)$ are not all equal, otherwise the edges provided by a coordinate would span a cycle (which would contradict condition (i) defining H), one of the term on the left-hand-side of the equality does not appear on the right-hand-side. But then the equality is in contradiction with the genericity assumption. \square

Proof of Theorem 12. We work with the conic version, for there is one-to-one correspondence between the max-plus Tverberg partitions in the conic and the convex settings.

Let us start with a particular max-plus Tverberg partition, which exists because of Theorem 11. We have X_1, \dots, X_q that provide a partition of $X = \{\mathbf{x}_1, \dots, \mathbf{x}_{N+1}\}$. We are in \mathbb{R}_{\max}^{d+1} . Define \mathbf{x} to be a Tverberg point, that is a point in the common intersections of the conic hulls of the X_i that is different from $(-\infty, \dots, -\infty)$. Define moreover λ_i to be the coefficient of \mathbf{x}_i in the Tverberg partition.

Consider the following bipartite graph with color classes $U := X$ and $W := [d+1]$. We put an edge between $\mathbf{x}_i \in X$ and $j \in [d+1]$ if the j th components of $\lambda_i + \mathbf{x}_i$ and \mathbf{x} coincide. Our max-plus Tverberg partition X_1, \dots, X_q provides a partition of U into subsets U_1, \dots, U_q such that for all i we have $N(U_i) = W$ by putting $U_i := X_i$. Moreover, by a slight perturbation, according to Lemma 2, we get that we need at least $(d'+1)(q-1)+1$ points to have a conic Tverberg partition in dimension $d'+1$, for any $0 \leq d' \leq d$. Hence, our bipartite graph satisfies all conditions of Corollary 1.

Now remark that each partition of U into subsets U_1, \dots, U_q such that $N(U_i) = W$ for each $i = 1, \dots, q$ provides a max-plus Tverberg partition by putting $X_i := U_i$. Corollary 1 implies thus the required lower bound for the number of max-plus Tverberg partitions. \square

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INRIA ET CMAP. POSTAL ADDRESS: CMAP, ÉCOLE POLYTECHNIQUE, 91128 PALAISEAU CÉDEX
E-mail address: `stephane.gaubert@inria.fr`

UNIVERSITÉ PARIS EST, LVMT, ENPC, 6-8 AVENUE BLAISE PASCAL, CITÉ DESCARTES CHAMPS-SUR-MARNE, 77455 MARNE-LA-VALLÉE CEDEX 2, FRANCE.
E-mail address: `frederic.meunier@enpc.fr`