Minnaert resonance in bubbly media

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June 02, 2017

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We want to understand the propagation of sound in bubbly water.



Experiment



 $\frac{\text{Results}}{\text{The function } |u^s/u^{\text{in}}|(\omega)}$

There exists a resonant angular frequency ω_M .

Noticed for the first time by M. Minnaert (1933 : On musical air-bubbles and the sound of running water).

$$\omega_M = \sqrt{\frac{3\rho_b}{\rho}} \frac{v_b}{R}$$
 (Minnaert resonance).

- ρ_b is the density of air (inside the bubble), and ρ the density of water,
- v_b is the speed of sound in the air.
- $\bullet \ R$ is the radius of the bubble.

Example

For a bubble of radius 0.5 mm, this gives $\omega_M=42000$ Hz (audible), and a wavelength (in water) $\lambda_M=0.22$ m.

Goal of this talk: understand the previous formula, and extend it.

Our model



- Air bubble: domain $\Omega \subset \mathbb{R}^3$ with $\partial \Omega$ of class C^2 ,
- ρ_b (resp. ρ) the density of air (resp. water),
- v_b (resp. v) the speed of sound in the air (resp. water),
- $u(\mathbf{x})$ the pressure at $\mathbf{x} \in \mathbb{R}^3$,
- $\rho^{-1}u(\mathbf{x}) \sim \text{velocity flow at } \mathbf{x} \in \mathbb{R}^3.$

Let ω be the angular frequency of the incident wave $u^{\rm in}$ and introduce

$$k_b = k(\omega) := \frac{\omega}{v_b}$$
 and $k := \frac{\omega}{v}$. (wave numbers)

Wave equation (d'Alembert equations) in frequency domain.

$$\begin{array}{ll} \left(\Delta+k^2\right)u=0 & \text{ in } \mathbb{R}^3\backslash\overline{\Omega}, \\ \left(\Delta+k_b^2\right)u=0 & \text{ in } \Omega, \\ u_+=u_- & \text{ on } \partial\Omega, \\ \frac{1}{\rho}\frac{\partial u}{\partial \nu}\Big|_+=\frac{1}{\rho_b}\frac{\partial u}{\partial \nu}\Big|_- & \text{ on } \partial\Omega, \\ u^s:=u-u^{\text{ in }} & \text{ satisfies the Sommerfeld radiation condition.} \end{array}$$

Regime?

We are looking for a resonance mode whose wavelength is much bigger than the size of the bubble:

$$\operatorname{Limit} 1: \omega \to 0 \Longleftrightarrow k \text{ (and } k_b) \to 0.$$

The only solution of the limit equation $(k = k_b = 0)$, with $u^{in} \equiv 0$, is $u \equiv 0$. We need something else!

Order of magnitude: $\rho_b = 1.225 \text{ kg.m}^{-3}$ and $\rho = 1000 \text{ kg.m}^{-3}$, hence $\delta := \frac{\rho_b}{\rho} \ll 1$ (contrast).

Limit 2: $\delta \rightarrow 0$.

Limit equation (with $u^{\rm in} \equiv 0$)

$$\begin{cases} \begin{array}{lll} \Delta u = 0 & \text{in } \mathbb{R}^3 \backslash \overline{\Omega}, \\ \Delta u = 0 & \text{in } \Omega, \\ u_+ = u_- & \text{on } \partial\Omega, \\ \frac{\partial u}{\partial \nu} \big|_{-} = 0 & \text{on } \partial\Omega, \\ u & \text{satisfies the Sommerfeld radiation condition.} \end{array} \end{cases}$$

The inside and outside problems are decoupled:

- 1) Solve the internal (Neumann) problem $(u|_{\Omega} = 1)$,
- 2) Solve the external (Dirichlet) problem.

There exists a non-trivial solution \implies resonant mode.

<u>Goal</u>: Track this mode for small k and small δ .

Layer potentials and Fredholm theory

(3d) Green's function for Helmholtz: solution to $(\Delta + k^2)G^k = \delta_0$.

$$G^k(\mathbf{x}, \mathbf{y}) := G^k(\mathbf{x} - \mathbf{y}) := \frac{-1}{4\pi} \frac{\mathrm{e}^{\mathrm{i}k|\mathbf{x} - \mathbf{y}|}}{|\mathbf{x} - \mathbf{y}|}$$

Single layer potential

$$\forall \Psi \in C^{\infty}(\partial \Omega), \ \forall \mathbf{x} \in \mathbb{R}^3, \quad \widetilde{\mathcal{S}^k}[\Psi](\mathbf{x}) := \int_{\partial \Omega} G^k(\mathbf{x} - \mathbf{y}) \Psi(\mathbf{y}) \mathrm{d}\sigma(\mathbf{y}).$$

Dirichlet-to-Neumann operator

$$\forall \Psi \in C^{\infty}(\partial \Omega), \ \forall \mathbf{x} \in \partial \Omega, \quad \mathcal{K}^{k,*}[\Psi](\mathbf{x}) := \int_{\partial \Omega} \frac{\partial G^k}{\partial \nu_{\mathbf{x}}} (\mathbf{x} - \mathbf{y}) \Psi(\mathbf{y}) \mathrm{d}\sigma(\mathbf{y}).$$

Hilbert spaces

$$L^2 := L^2(\partial \Omega), \quad H^{-1/2} := H^{-1/2}(\partial \Omega), \quad H^{1/2} := H^{1/2}(\partial D).$$

Proposition (The operators are well-defined)

- i) The operators $\widetilde{\mathcal{S}^k}$ are bounded from $H^{-1/2}$ to $H^1_{\text{loc}}(\mathbb{R}^3)$.
- ii) The operators $S^k := \widetilde{S^k}|_{\partial\Omega}$ are bounded $H^{-1/2}$ to $H^{1/2}$.
- iii) The operators $\mathcal{K}^{k,*}$ are compact (hence bounded) from $H^{-1/2}$ to $H^{-1/2}$.

Proposition (Second properties)

Let $\psi \in H^{-1/2}$, and $u = \widetilde{\mathcal{S}^k}[\psi] \in H^1_{\text{loc}}(\mathbb{R}^3)$. Then

i) $(\Delta + k^2)u = 0$ in Ω and in $\mathbb{R}^3 \setminus \overline{\Omega}$ (+ Sommerfeld radiation conditions);

ii) u is the (unique) solution to the Dirichlet problem $(\Delta + k^2)u = 0$ and $u|_{\partial\Omega} = S^k[\psi]$;

iii) jump formula:

$$\partial_{\nu} u \Big|_{\pm} = \left(\mathcal{K}^{k,*} \pm \frac{1}{2} \right) [\psi].$$

The scattering problem can be encoded at the boundary of the bubble.

Ansazt

$$u = \begin{cases} u^{\mathrm{in}} + \widetilde{\mathcal{S}^{k}}[\psi] & \text{ on } \mathbb{R}^{3} \backslash \overline{\Omega} \\ \\ \widetilde{\mathcal{S}^{k_{b}}}[\psi_{b}] & \text{ on } \Omega. \end{cases}$$

Initial problem

Problem with operators

$$\begin{cases} (\Delta + k^2) u = 0 & \text{in } \mathbb{R}^3 \backslash \Omega, \\ (\Delta + k_b^2) u = 0 & \text{in } \Omega, \\ u_+ = u_- & \text{on } \partial\Omega, \\ \delta \frac{\partial u}{\partial \nu} |_+ = \frac{\partial u}{\partial \nu} |_- & \text{on } \partial\Omega. \end{cases} \longleftrightarrow \underbrace{\begin{pmatrix} \mathcal{S}^{k_b} & -\mathcal{S}^k \\ \mathcal{K}^{k_b, *} - \frac{1}{2} & -\delta\left(\frac{1}{2} + \mathcal{K}^{k, *}\right) \\ \mathcal{A}(\omega, \delta) \end{pmatrix}}_{\mathcal{A}(\omega, \delta)} \cdot \begin{pmatrix} \psi_b \\ \psi \end{pmatrix} = \begin{pmatrix} u^{\text{in}} |_+ \\ \delta \frac{\partial u^{\text{in}}}{\partial \nu} |_+ \end{pmatrix}$$

Definition (Resonant mode)

We say that the pair (ω, δ) is a resonant mode if $\mathcal{A}(\omega, \delta)$ is non invertible.

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The unperturbed operator $\mathcal{A}(0,0)$.

$$\mathcal{A}(0,0) := \begin{pmatrix} \mathcal{S} & -\mathcal{S} \\ \mathcal{K}^* - \frac{1}{2} & 0 \end{pmatrix} : H^{-1/2} \times H^{-1/2} \to H^{1/2} \times H^{-1/2}$$

Resonant mode?

$$\mathcal{A}(0,0)\begin{pmatrix}\psi_b\\\psi\end{pmatrix} = \begin{pmatrix}0\\0\end{pmatrix} \iff \begin{cases} \mathcal{S}[\psi_b - \psi] = 0,\\ \begin{pmatrix}\mathcal{K}^* - \frac{1}{2}\end{pmatrix}[\psi_b] = 0. \end{cases}$$

Lemma (Classical results)

- i) The operator $S: H^{-1/2} \to H^{1/2}$ is a bounded invertible operator with bounded inverse.
- ii) The operator \mathcal{K}^* is compact on $H^{-1/2}$ and $\sigma(\mathcal{K}^*) \subset (-1/2, 1/2]$. Moreover,

$$\operatorname{Ker}\left(\mathcal{K}^* - \frac{1}{2}\right) = \operatorname{Vect}\{\phi_e\}, \quad \text{where} \quad \phi_e := \mathcal{S}^{-1}[\mathbbm{1}_{\partial\Omega}] \in H^{-1/2}.$$

Remark:
$$u_e := \widetilde{\mathcal{S}}[\phi_e]$$
 satisfies $\Delta u_e = 0$, and $u_e|_{\partial\Omega} = 1$, hence $\widetilde{\mathcal{S}}[\phi_e] = 1$ in Ω .

Conclusion

Ker
$$\mathcal{A}(0,0) = \operatorname{Vect} \left\{ \begin{pmatrix} \phi_e \\ \phi_e \end{pmatrix} \right\}.$$

Interlude: Complex analysis

If f(z) is analytic with $f(\lambda) = 0$ and $f(z) \neq 0$ for all $z \in \mathcal{B}(\lambda, r) \setminus \{\lambda\}$, then

$$\frac{1}{2\mathrm{i}\pi}\oint_{\mathscr{C}(\lambda,r)}\frac{f'(z)}{f(z)}\mathrm{d}z = \sharp\left\{\mathrm{zeros} \text{ of } f \text{ in } \mathcal{B}(\lambda,r)\right\} = 1, \quad \mathrm{and} \quad \frac{1}{2\mathrm{i}\pi}\oint_{\mathscr{C}(\lambda,r)}\frac{f'(z)}{f(z)}z\mathrm{d}z = \lambda.$$

Theorem (Rouché's Theorem)

Let f be as before. Then, for all g analytic such that $|\frac{g}{f}| < 1$ on $\mathscr{C}(\lambda, r)$, it holds that f + g has a unique zero λ_{f+g} in $\mathcal{B}(\lambda, r)$, and

$$\lambda_{f+g} = \frac{1}{2\mathrm{i}\pi} \oint_{\mathscr{C}(\lambda,r)} \frac{(f+g)'(z)}{(f+g)(z)} z \mathrm{d}z.$$



Complex analysis: operator version

If $A(z) : \mathcal{H}_1 \to \mathcal{H}_2$ is an analytic map of Fredholm operators (of index 0) such that

- For all $z \in \mathcal{B}(\lambda, r) \setminus \{\lambda\}$, dim Ker $A(z) = \dim \text{Ker} A^*(z) = 0$;
- $\dim \operatorname{Ker} A(\lambda) = 1$, (hence $\dim \operatorname{Ker} A^*(z) = 1$),

then

$$1 = \frac{1}{2\mathrm{i}\pi} \mathrm{Tr}_{\mathcal{H}_1} \left[\oint_{\mathscr{C}(\lambda,r)} \frac{1}{A(z)} A'(z) \mathrm{d}z \right] \quad \text{and} \quad \lambda = \frac{1}{2\mathrm{i}\pi} \mathrm{Tr}_{\mathcal{H}_1} \left[\oint_{\mathscr{C}(\lambda,r)} \frac{1}{A(z)} A'(z) z \mathrm{d}z \right]$$

Remarks

- If $A : \mathcal{H}_1 \to \mathcal{H}_2$, then $A^{-1}A' : \mathcal{H}_1 \to \mathcal{H}_1$. The notion of trace exists.
- The operators A^{-1} and A' may not commute. However, $\operatorname{Tr}_{\mathcal{H}_1}(A^{-1}A') = \operatorname{Tr}_{\mathcal{H}_2}(A'A^{-1})$.

Theorem (Operator version of Rouché: Gohberg-Sigal theorem¹)

For all operator-valued analytic map B(z): $\mathcal{H}_1 \to \mathcal{H}_2$ such that $||A^{-1}B||_{\mathscr{B}(\mathcal{H}_1)} < 1$ on $\mathscr{C}(\lambda, r)$, then the operator A + B is Fredholm of index 0, and there exists a unique point $\lambda_{A+B} \in \mathcal{B}(\lambda, r)$ such that

dim Ker
$$(A + B)(\lambda_{A+B}) = 1$$
 (= 0 otherwise).

Moreover,

$$\lambda_{A+B} = \frac{1}{2\mathrm{i}\pi} \mathrm{Tr}_{\mathcal{H}_1} \left[\oint_{\mathscr{C}(\lambda,r)} \frac{1}{(A+B)(z)} (A+B)'(z) z \mathrm{d}z \right].$$

¹U. Gohberg, E.I. Sigal, Sbornik: Mathematics 13.4 (1971).

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In our case

- We see the contrast δ as the complex variable (z), and ω as the perturbation parameter.
- For all ω , $\mathcal{A}(\omega, \cdot)$ is analytic in δ .
- For $\omega = 0$, $\mathcal{A}(0, 0)$ is non invertible.

The operators $\mathcal{A}(0, \delta)$

$$\mathcal{A}(0,\delta) := \begin{pmatrix} \mathcal{S} & -\mathcal{S} \\ \mathcal{K}^* - \frac{1}{2} & 0 \end{pmatrix} + \delta \begin{pmatrix} 0 & 0 \\ 0 & -\left(\mathcal{K}^* + \frac{1}{2}\right) \end{pmatrix}$$

Invertible?

$$\mathcal{A}(0,\delta)\begin{pmatrix}\psi_b\\\psi\end{pmatrix} = \begin{pmatrix}0\\0\end{pmatrix} \iff \begin{cases} \mathcal{S}[\psi_b - \psi] = 0,\\ \left(\mathcal{K}^* - \frac{1}{2}\right)[\psi_b] = \delta\left(\mathcal{K}^* + \frac{1}{2}\right)[\psi] \iff \begin{cases} \psi = \psi_b,\\ \mathcal{K}^*[\psi] = \frac{1}{2}\left(\frac{1+\delta}{1-\delta}\right)\psi. \end{cases}$$

It holds that $\frac{1}{2}$ is an <u>isolated</u> eigenvalue of \mathcal{K}^* .

We deduce that there exists $\delta^* > 0$ such that

$$\forall \delta \in \mathbb{C}, \ |\delta| \le \delta^*, \ \delta \neq 0, \quad \text{Ker } \mathcal{A}(0, \delta) = \left\{ \begin{pmatrix} 0 \\ 0 \end{pmatrix} \right\}.$$

Key point

 $\mathcal{A}(0,\delta)$ is non invertible only for $\delta = 0$ in $\mathcal{B}(0,\delta^*)$.

Fredholm? We need the adjoint of $\mathcal{K}^* : H^{-1/2} \to H^{-1/2}$.

Problem: The inner product of $H^{-1/2}$ is not explicit.

Lemma (classical, new definition of Hilbert spaces)

i) Let $\psi \in H^{-1/2}$ and set $u := \widetilde{\mathcal{S}}[\Psi]$. We have

$$\langle \psi, -\mathcal{S}[\psi] \rangle_{H^{-1/2}, H^{1/2}} = \int_{\Omega \cup \left(\mathbb{R}^3 \setminus \overline{\Omega}\right)} |\nabla u|^2.$$

ii) The space $\mathcal{H}^- := H^{-1/2}$ is a Hilbert space (equivalent to $H^{-1/2}$) when endowed with the norm

$$\|\psi\|_{\mathcal{H}^{-}}^{2} := \langle \psi, -\mathcal{S}[\psi] \rangle_{H^{-1/2}, H^{1/2}}$$

iii) The space $\mathcal{H}^+ := H^{1/2}$ is a Hilbert space (equivalent to $H^{1/2}$) when endowed with the norm

$$\|\phi\|_{\mathcal{H}^+}^2 := \langle -\mathcal{S}^{-1}[\phi], \phi \rangle_{H^{-1/2}, H^{1/2}}.$$

iv) The operator S is unitary from \mathcal{H}^- to \mathcal{H}^+ . In particular, $S^* = S^{-1}$.

v) (Calderón's identity) The operator \mathcal{K}^* is compact self-adjoint on \mathcal{H}^- .

Fact

$$\operatorname{Ker} \mathcal{A}(0,0)^* = \left\{ \begin{pmatrix} 0 \\ \phi_e \end{pmatrix} \right\}$$
 and $\operatorname{Ker} \mathcal{A}(0,\delta \neq 0)^* = \{0\}.$

We can apply Gohberg-Sigal theorem!

We consider $\omega \neq 0$ as a perturbation of the $\omega = 0$ case.

Green's function (bis)

$$G^{k}(\mathbf{x}): -\frac{1}{4\pi} \frac{e^{ik|\mathbf{x}|}}{|\mathbf{x}|} = -\frac{1}{4\pi|\mathbf{x}|} \left(1 + ik|\mathbf{x}| + \frac{(ik|\mathbf{x}|)^{2}}{2} + \cdots\right) = G^{0}(\mathbf{x}) + kG_{1}(\mathbf{x}) + k^{2}G_{2}(\mathbf{x}) + \cdots$$

Single-layer potential (bis)

$$\mathcal{S}^{k}[\psi](\mathbf{x}) = \int_{D} \left(G^{0} + kG_{1} + \cdots \right) (\mathbf{x} - \mathbf{y}) \psi(\mathbf{y}) \mathrm{d}\sigma(\mathbf{y}) = \mathcal{S}[\psi](\mathbf{x}) + k\mathcal{S}_{1}[\psi](\mathbf{x}) + \cdots$$

Dirichlet-to-Neumann (bis)

$$\mathcal{K}^{k,*} = \mathcal{K}^* + k\mathcal{K}_1 + k^2\mathcal{K}_2 + \cdots$$

The operator \mathcal{A}_{ω}

$$\mathcal{A}_{\omega} := \mathcal{A}(\omega, \cdot) = \mathcal{A}_0 + \omega \mathcal{A}_1 + \omega^2 \mathcal{A}_2 + \cdots$$

Theorem (H. Ammari, DG, B. Fitzpatrick, H. Lee, H. Zhang)

For ω small enough, there exists a unique $\delta_{\omega} \in \mathcal{B}(0, \delta)$ such that $\mathcal{A}(\omega, \delta_{\omega})$ is non invertible. Moreover, the map $\omega \to \delta_{\omega}$ is analytic, and

$$\begin{split} \delta\omega &= \frac{1}{2\mathrm{i}\pi} \mathrm{Tr}_{\mathcal{H}^{--}} \left[\oint_{\mathscr{C}(0,\delta)} \frac{1}{\mathcal{A}_{\omega}(\delta)} \frac{\partial \mathcal{A}_{\omega}}{\partial \delta}(\delta) \delta \mathrm{d}\delta \right] \\ &= \left(\frac{|\Omega|}{v_b^2 \mathrm{Cap}_{\Omega}} \right) \omega^2 + \left(\frac{\mathrm{i}|\Omega|}{4\pi v_b^2 v} \right) \omega^3 + O(\omega^4). \end{split}$$

Remark: The result holds for all shapes of bubbles.

$$\delta_{\omega} = \left(\frac{|\Omega|}{v_b^2 \mathrm{Cap}_{\Omega}}\right) \omega^2 + \left(\frac{\mathrm{i}|\Omega|}{4\pi v_b^2 v}\right) \omega^3 + O(\omega^4).$$

Capacity

$$\operatorname{Cap}_{\Omega} := \|\phi_e\|_{\mathcal{H}^-}^2 = \|\mathbb{1}_{\partial\Omega}\|_{\mathcal{H}^+}^2 \quad = \langle -S^{-1}[\mathbb{1}_{\partial\Omega}], \mathbb{1}_{\partial\Omega}\rangle_{H^{-1/2}, H^{1/2}}^2 \quad (>0)$$

For the sphere S_R of radius $R, \operatorname{Cap}_{S_R} = 4\pi R.$

Inverse formula: $\delta \rightarrow \omega_{\delta}$

$$\omega_{\delta} = \left(\frac{\mathrm{Cap}_{\Omega}v_{b}^{2}}{|\Omega|}\right)^{1/2}\sqrt{\delta} - \mathrm{i}\left(\frac{\mathrm{Cap}_{\Omega}^{2}v_{b}^{2}}{8\pi v |\Omega|}\right)\delta + O(\delta^{3/2}).$$

Leading order

For a sphere, $\omega_{\delta} = \omega_M$. We recover Minnaert's result.

Second order:

Purely imaginary \implies Dissipative term \equiv Radiative damping.



Remarks

- The resonance is very close to the real-line, even in physical situations.
- We obtain a resonance phenomenon, and a damping effect, from ab initio principles.
- It corresponds to the so-called breathing mode.

The point scatterer approximation

What happens to a (fix) pressure wave (fix ω) with a small bubble $\Omega^{\varepsilon} = \varepsilon \Omega$ as $\varepsilon \to 0$? How much is the resonant mode excited?

Initial problem

$$\begin{array}{lll} (\Delta+k^2)\,u=0 & \text{in} \quad \mathbb{R}^3\backslash\overline{\Omega^\varepsilon},\\ (\Delta+k^2_b)\,u=0 & \text{in} \quad \Omega^\varepsilon,\\ u_+=u_- & \text{on} \quad \partial\Omega^\varepsilon,\\ \delta\frac{\partial u}{\partial\nu}\big|_+=\frac{\partial u}{\partial\nu}\big|_- & \text{on} \quad \partial\Omega^\varepsilon,\\ u^s=u-u^{\text{in}} & \text{satisfies Sommerfeld}. \end{array}$$

Regime?

Limit
$$1: \varepsilon \to 0$$

$$\mathsf{Limit}\ 2: \delta \to 0.$$

Idea: We know that $\varepsilon_M \approx \sqrt{\delta}$. Fix $\mu > 0$, and

$$\label{eq:Limit: constraint} {\rm Limit: } \varepsilon \to 0 {\rm ~and~} \delta = \mu \varepsilon^2.$$

Minnaert resonance

$$\mu_M := \frac{|\Omega|k_b^2}{\operatorname{Cap}_{\Omega}}$$

Theorem (H. Ammari, DG, B. Fitzpatrick, H. Lee, H. Zhang)

If $\mathbf{0} \in D$, then the solution $u^{\varepsilon} := u[\varepsilon, \delta = \mu \varepsilon^2]$ satisfies

$$u^{\varepsilon}(\mathbf{x}) = u^{\mathrm{in}}(\mathbf{x}) + \begin{cases} \varepsilon \left(\frac{\mathrm{Cap}_{\Omega}}{1 - \frac{\mu_M}{\mu}} u^{\mathrm{in}}(\mathbf{0}) \right) G^k(\mathbf{x}) + O_{\mu}(\varepsilon^2) & \text{if } \mu \neq \mu_M, \\ \left(\mathrm{i}\frac{4\pi}{k} u^{\mathrm{in}}(\mathbf{0}) \right) G^k(\mathbf{x}) + O(\varepsilon) & \text{if } \mu = \mu_M. \end{cases}$$

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Loosely speaking, $u^s = u - u^{\text{in}}$ satisfies

$$u^{s}(\mathbf{x}) \approx u^{\mathrm{in}}(\mathbf{0})g_{s}(\omega)G^{k}(\mathbf{x}-\mathbf{0}), \quad \text{with} \quad g_{s}(\omega) := \frac{\mathrm{Cap}_{\Omega}}{\left(1-\frac{\omega^{2}}{\omega_{M}^{2}}\right)-\mathrm{i}\frac{\mathrm{Cap}_{\Omega}\omega}{4\pi v}} \quad (\text{response function}).$$

Example For a bubble of radius 0.5 mm, we get

The function $g_s(\omega)$ for ω between 0 and $2\omega_M$.



Remarks

- The imaginary part in the denominator of g_s is the radiative damping.
- The poles of g_s are in the lower half complex plane: from Titchmarsh's theorem, g_s is a causal response function.
- Monopole point scatterer: We only use the value $u^{in}(\mathbf{0})$, and not $\nabla u^{in}(\mathbf{0})$ (dipole scatterer).
- We recover the expression found in [1] for g_s .

¹M. Devaud, Th. Hocquet, J.-C. Bacri, and V. Leroy. Eur. J. Phys., 29(6):1263, 2008.

The periodic case: the periodic Minnaert resonance

Experiment²



²V. Leroy, A. Strybulevych, M. Lanoy, F. Lemoult, A. Tourin, J.H. Page, Phys. Rev. B 91, 020301(R) (2015).

We now set bubbles on a (d-1) dimensional lattice \mathcal{R} , on top of a Dirichlet surface. Bubbles domain

$$\Omega^{\varepsilon} := \bigcup_{\mathbf{R} \in \mathcal{R}} \varepsilon \left(\Omega + \mathbf{R} \right).$$



In this talk

- two-dimensional: $\mathcal{R} = a\mathbb{Z}$.
- $U^{\text{in}}(x,y) := u_0 e^{-iky}$ with k > 0 fixed (\Longrightarrow incoming plane-wave orthogonal to the plane).

The problem is \mathcal{R} -periodic in the x direction!

Scattering problem

$$\left\{\begin{array}{ll} \left(\Delta+k^2\right)U^{\varepsilon}=0 & \text{ on } \mathbb{R}^d_+\setminus\overline{\Omega^{\varepsilon}},\\ \left(\Delta+k^2_b\right)U^{\varepsilon}=0 & \text{ on } \Omega^{\varepsilon},\\ U^{\varepsilon}|_+=U^{\varepsilon}|_- & \text{ on } \partial\Omega^{\varepsilon},\\ \partial_{\nu}U^{\varepsilon}|_-=\delta\partial_{\nu}U^{\varepsilon}|_+ & \text{ on } \partial\Omega^{\varepsilon},\\ U^s:=U^{\varepsilon}-U^{\text{ in }} & \text{ satisfies the outgoing radiation condition,}\\ U^{\varepsilon}=0 & \text{ on } \partial\mathbb{R}^2_+,\\ U^{\varepsilon}(x+\varepsilon\mathcal{R},y)=U(x,y).\end{array}\right\} \text{ boundary conditions}$$

Regime?

$$\text{Limit 1: } \varepsilon \to 0. \qquad \qquad \text{Limit 2: } \delta \to 0.$$

Limit problem (after rescaling $u(\mathbf{x}) := U(\mathbf{X}/\varepsilon)$).

 $\left\{ \begin{array}{l} \Delta u=0 \quad \text{on} \quad \mathbb{R}^d_+\setminus\overline{\Omega},\\ \Delta u=0 \quad \text{on} \quad \Omega,\\ u|_+=u|_- \quad \text{on} \quad \partial\Omega,\\ \partial_\nu u|_-=0 \quad \text{on} \quad \partial\Omega,\\ + \quad \text{boundary conditions.} \end{array} \right.$

Again, two decoupled problems: there exists a non trivial solution (with $u|_{\Omega} = 1$).

Similar to the single bubble case (existence + tracking of the resonance).

Periodic Green's function? Solution to $(\Delta + k^2)G^k_{\sharp}(\mathbf{x}) = \sum_{l \in \mathbb{Z}} \delta_l(\mathbf{x}).$

For k = 0

$$G^0_{\sharp}(\mathbf{x}) = G^0_{\sharp}(x, y) = \frac{|y|}{2a} - \sum_{l \in \frac{2\pi}{a} \mathbb{Z}^*} \frac{1}{2al} \mathrm{e}^{ilx} \mathrm{e}^{-|ly|}.$$

- First part: 1d Green's function \implies propagative mode,
- Second part: Exponentially decreasing away from the plane $(y \to \infty) \Longrightarrow$ evanescent modes.

For small $k, k \neq 0$

$$G_{\sharp}^{k}(\mathbf{x}) = G_{\sharp}^{k}(x,y) = \frac{\mathrm{e}^{-\mathrm{i}k|y|}}{2\mathrm{i}ka} - \sum_{l \in \frac{2\pi}{a}\mathbb{Z}^{*}} \frac{1}{2al\sqrt{l^{2} - k^{2}}} \mathrm{e}^{2\mathrm{i}\pi\frac{x}{a}} \mathrm{e}^{-\sqrt{l^{2} - k^{2}}|y|}.$$

Periodic-Dirichlet Green's function

$$G^k_+(\mathbf{x};\mathbf{x}') = G^k_+(x,y;x',y') = G^k_{\sharp}(x-x',y-y') - G^k_{\sharp}(x-x',y+y').$$

Remarks

- «mirror image» to enforce Dirichlet conditions.
- $G^k_+(\mathbf{x};\mathbf{x}')$ is not translation invariant $(G^k_+(\mathbf{x};\mathbf{x}') \neq G^k_+(\mathbf{x}-\mathbf{x}'))$.
- $G^k_{\sharp}(\mathbf{x})$ has a $\frac{1}{k}$ singularity as $k \to 0$ (problematic for our limit $k \to 0$).
- The Dirichlet condition removes this $\frac{1}{k}$ singularity.

Layer potentials As before: $\widetilde{\mathcal{S}_{+}^{k}}, \mathcal{S}_{+}^{k}, \mathcal{K}_{+}^{k}$, and $\phi_{e,+} := [\mathcal{S}_{+}]^{-1} (\mathbb{1}_{\partial\Omega}).$

Periodic capacity

$$\operatorname{Cap}_{\Omega,\mathcal{R}}^{+} := \left\langle \mathbb{1}_{\partial\Omega}, [-\mathcal{S}_{+}]^{-1} (\mathbb{1}_{\partial\Omega}) \right\rangle \quad = -\int_{\partial\Omega} \phi_{e,+}(\mathbf{x}') \mathrm{d}\sigma(\mathbf{x}').$$

Periodic Minnaert resonance

$$\omega_M^+ := \left(\frac{\mathrm{Cap}_{\Omega,\mathcal{R}}^+ v_b^2}{|\Omega|}\right)^{1/2} \sqrt{\delta}.$$

Remarks:

• Similar expression: we had
$$\omega_M = \left(\frac{\operatorname{Cap}_\Omega v_b^2}{|\Omega|}\right)^{1/2} \sqrt{\delta}.$$

• The periodic capacity depends on the lattice.

Meta-surfaces and high-contrast homogenisation

How much is the resonant mode excited when we send a (fix) incoming wave U^{in} ?

Following the one bubble case, we expect a «meta-surface approximation» of the form:

$$U^{s}(y) = \underbrace{U^{0}(y=0)}_{0 + (y) + (y)$$

Solution without bubbles Response function Green's function between plane and y

Problem

Without bubbles, the solution is

$$U^{0}(x,y) = U^{\text{in}}(x,y) - U^{\text{in}}(x,-y) = u_{0}e^{-iky} - u_{0}e^{iky} = -2iu_{0}\sin(ky).$$

In particular, $U^0(x, y = 0) = 0$: the monopole mode is not excited at first order.

Solution

Need next order: dipole approximation ($U^0(x, y \approx 0) \approx -2iu_0ky$).

Monopole approximation

Internal problem

 $\mathbb{1}_{\Omega}$ solution to $\Delta \mathbb{1}_{\Omega} = 0$ and $\partial_{\nu} \mathbb{1}_{\Omega} = 0$.

External problem α_{monop} solution to

$$\begin{array}{l} \Delta \alpha_{\mathrm{monop}} = 0 \quad \mathrm{on} \quad \mathbb{R}^{d}_{+} \setminus \overline{\Omega}, \\ \alpha_{\mathrm{monop}}|_{+} = \mathbb{1}_{\partial \Omega} \quad \mathrm{on} \quad \partial \Omega, \\ + \quad \mathrm{Boundary \ conditions.} \end{array}$$

Dipole approximation

Internal problem y solution to $\Delta y = 0$ and $\partial_{\nu} y = \nu_y$.

External problem α_{dip} solution to

$$\begin{array}{ll} \Delta \alpha_{\rm dip} = 0 \quad {\rm on} \quad \mathbb{R}^d_+ \setminus \overline{\Omega}, \\ \alpha_{\rm dip}|_+ = y_{\partial\Omega} \quad {\rm on} \quad \partial\Omega, \\ + \quad {\rm Boundary \ conditions.} \end{array}$$

Monopole and dipole solutions (bis)

$$\alpha_{\mathrm{monop}} = \widetilde{\mathcal{S}_{+}}\left(\phi_{e,+}\right) \quad = \widetilde{\mathcal{S}_{+}}\left([\mathcal{S}_{+}]^{-1}\left(\mathbbm{1}_{\partial\Omega}\right)\right), \quad \mathrm{and} \quad \alpha_{\mathrm{dip}} = \widetilde{\mathcal{S}_{+}}\left([\mathcal{S}_{+}]^{-1}\left(y_{\partial\Omega}\right)\right).$$

Asymptotics of the Green's function $(y \to \infty)$

$$G^0_+(\mathbf{x};\mathbf{x}') = -rac{y'}{a}$$
 + evanescent modes.

Asymptotics $(y \to \infty)$

$$\begin{split} \alpha_{\mathrm{monop}}(x,y) &= \alpha_{\mathrm{monop}}^{\infty} + \text{ evanescent modes}, \quad \text{with} \quad \alpha_{\mathrm{monop}}^{\infty} &:= -\int_{\partial\Omega} \frac{y'}{a} \phi_{e,+}(\mathbf{x}') \mathrm{d}\sigma(\mathbf{x}'), \\ \alpha_{\mathrm{dip}}(x,y) &= \alpha_{\mathrm{dip}}^{\infty} + \text{ evanescent modes}. \end{split}$$

Regime? We expect $\delta \approx \varepsilon^2$. Fix $\mu > 0$, and

$$\text{Limit: } \varepsilon \to 0 \text{ and } \delta = \mu \varepsilon^2.$$

Resonance

$$\mu_M := \frac{|\Omega|k_b^2}{\operatorname{Cap}_{\Omega,\mathcal{R}}^+}$$

Norm? Strip $S_a := \mathbb{R} \times (a, \infty)$ and

$$\left\|f\right\|_{W^{1,\infty}(S_{a})}:=\sup_{\mathbf{X}\in S_{a}}\left|f\right|(\mathbf{X})+\sup_{\mathbf{X}\in S_{a}}\left|\nabla f\right|(\mathbf{X}).$$

Theorem (H. Ammari, DG, B. Fitzpatrick, H. Lee, H. Zhang)

1) If $\mu \neq \mu_M$, then $U^{\varepsilon} := U[\varepsilon, \delta = \mu \varepsilon^2]$ satisfies uniformly in $W^{1,\infty}(S_{\varepsilon L})$

$$U^{\varepsilon}(\mathbf{X}) = U^{0}(\mathbf{X}) + \varepsilon \left(U_{1}(\mathbf{X}) + U_{\mathrm{BL}}\left(\mathbf{X}, \frac{\mathbf{X}}{\varepsilon}\right) \right) + O_{\mu}(\varepsilon^{2}),$$

where $U^0(\mathbf{X}) = -2iu_0 \sin(kY)$ is the solution without bubbles, and where

$$U_{1}(\mathbf{X}) := (2iu_{0}k)e^{ikY} \left(\alpha_{dip}^{\infty} - \frac{K}{1 - \frac{\mu_{M}}{\mu}} \alpha_{monop}^{\infty} \right), \quad \text{with} \quad K := \frac{\alpha_{monop}^{\infty}a}{\operatorname{Cap}_{\Omega,\mathcal{R}}^{+}}.$$
$$U_{BL}(\mathbf{X}, \mathbf{x}) := (2iu_{0}k) \left((\alpha_{dip}(\mathbf{x}) - \alpha_{dip}^{\infty}) - \frac{K}{1 - \frac{\mu_{M}}{\mu}} (\alpha_{monop}(\mathbf{x}) - \alpha_{monop}^{\infty}) \right).$$

Remarks

- Uniform bounds in $S_{\varepsilon L}$ (boundary limit terms U_{BL}).
- U_{BL}(X, x) is exponentially decreasing as y → ∞.
- The dipole and monopole terms are of same order of magnitude.
- Only the monopole part is resonant (singularity $\mu \rightarrow \mu_M$).

Theorem (bis)

2) If $\mu = \mu_M$, then $U^{\varepsilon} := U[\varepsilon, \delta = \mu_M \varepsilon^2]$ satisfies uniformly in $W^{1,\infty}(S_{\varepsilon L})$

$$U^{\varepsilon}(\mathbf{X}) = U^{0}(\mathbf{X}) + \left(U_{1}(\mathbf{X}) + U_{BL}\left(\mathbf{X}, \frac{\mathbf{X}}{\varepsilon}\right)\right) + O(\varepsilon),$$

where $U_1(\mathbf{X}) := 2u_0 \mathrm{e}^{\mathrm{i}kY}$ and where

$$U_{\rm BL}(\mathbf{X}, \mathbf{x}) := (2u_0) \left(\frac{\alpha_{\rm monop}}{\alpha_{\rm monop}^{\infty}}(\mathbf{x}) - 1 \right) \quad \text{is exponentially decreasing as } y \to \infty.$$

Interpretation

The meta-screen behaves like an acoustic plane with reflection coefficient

$$R(\omega) \approx -1 - 2\left(\frac{\mathrm{i}\omega\eta}{1 - \left(\frac{\omega}{\omega_{M}^{+}}\right)^{2} - \mathrm{i}\omega\eta^{*}}\right) \quad \text{with} \quad \eta = \eta^{*} := \frac{(\alpha_{\mathrm{monop}}^{+})^{2}a}{v\mathrm{Cap}_{\Omega,\mathcal{R}}^{+}}.$$

Remarks:

- We recover the radiative damping (η^*) .
- If $\omega \ll \omega_M$ or $\omega \gg \omega_M$, then $R(\omega) \approx -1$ (Dirichlet plane) ~ no bubble case.
- If $\omega = \omega_M$, then $R(\omega_M) = 1$ (Neumann plane).
- Considering other source of damping (*e.g.* viscous), and assuming $\eta^* = 2\eta$, we have

$$R(\omega_M) = 0$$
 (absorption plane).

Conclusions

- Regime $\varepsilon \to 0$ and $\delta \to 0$ such that $\delta \approx \varepsilon^2$ (high-contrast limit³).
- Tracking of the resonance through Gohberg-Sigal theory.
- Point scatterer approximation and meta-surfaces from the study of layer potentials.
- Resonance phenomenon as the limit of well-posed and easy-to-study problems.

Bibliography

- H. Ammari, B. Fitzpatrick, D. Gontier, H. Lee, H. Zhang, arXiv:1603.03982 (single bubble).
- H. Ammari, B. Fitzpatrick, D. Gontier, H. Lee, H. Zhang, arXiv:1608.02733 (meta-surface) (accepted in SIAM J. Appl. Math.).

Thank you for your attention.

³cf. also works by G. Bouchitté and D. Felbacq