

# Computing kernels of graphs

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Ecole polytechnique - CERMICS

July 11, 2016

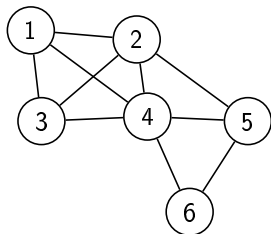
# Plan

- 1 Introduction
- 2 Algorithm for chordal graphs
- 3 Generalization

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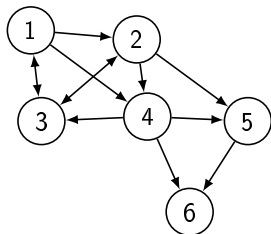
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# Graph theory basics



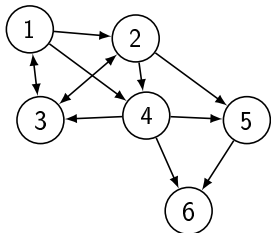
- Vertices, edges

# Graph theory basics



- Vertices, edges
- Orientation, arcs

# Graph theory basics



- Vertices, edges
- Orientation, arcs
- Neighbors/Adjacent vertices
- Successor
- Sink: vertex without successor
- Circuit

# Kernel

## Definition

Let  $D = (V, A)$  be a directed graph. A subset of vertices  $K$  is a *kernel* of  $D$  when:

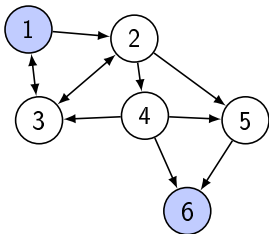
- $K$  is *stable*: it contains no pair of adjacent vertices
- $K$  is *absorbing*: every vertex  $v \notin K$  has a successor in  $K$ , i.e.,  $\forall v \notin K$ , there is a vertex  $k \in K$  such that  $(v, k) \in A$ .

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- $\{1, 6\}$  is **not an absorbing subset** of  $D$ : vertex 2 has no successor in  $\{1, 6\}$

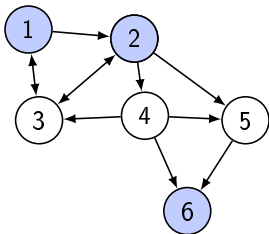


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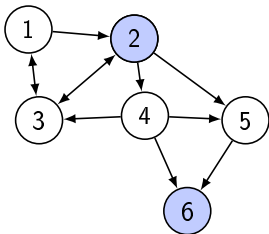
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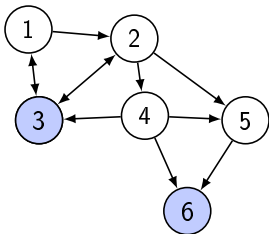
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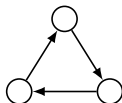
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- $\{1, 2, 6\}$  is absorbing but not stable because of the arc  $(1, 2)$
- $\{2, 6\}$  is absorbing and stable: it is a kernel of  $D$
- $\{3, 6\}$  is **another kernel** of  $D$

# Existence of a kernel

- Graphs can have several kernels (even exponentially many)
- Others have no kernel at all, for example odd circuits

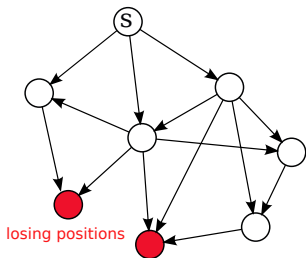


- Existence theorems are proven for some families of graphs  
*Graphs without circuits, perfect graphs (Boros-Gurvich), graphs without odd circuit (Richardson), line-graphs (Maffray)...*

## Why kernels ?

Related to [winning strategies](#) in game theory

Initially introduced by Von Neumann and Morgenstern in their *Theory of Games and Economic Behavior* in 1944

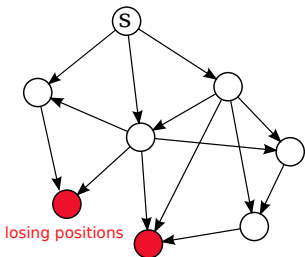


Consider a graph without circuit, with a token placed on a starting vertex  $s$ .

Two-player game: at his turn, each player moves the token from the current vertex to a successor of his.

The player who can't move anymore is the loser.

# Why kernels ?



A game corresponds to a path  $v_0 = s \rightarrow v_1 \rightarrow \dots \rightarrow v_n$  with  $v_n$  a sink.  
Player 1 chooses  $v_{2p+1} \forall p$ .

Let  $K$  be a kernel of the digraph. Then:

- All sinks are in  $K$ .
- $\forall v_{2p} \notin K$ , Player 1 has a strategy to impose  $v_{2p+1} \in K$ .
- If  $s \notin K$ , Player 1 knows how to win !

## Example of a Nim game

### A Nim game:

- stack of 10 sticks
- two players playing in turns
- each of them removes 1, 2 or 3 sticks
- the loser is the player who removes the last stick

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Equivalent to moving a token in the appropriate state graph.



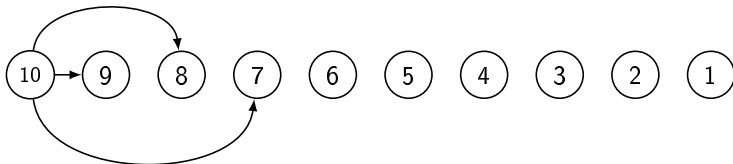


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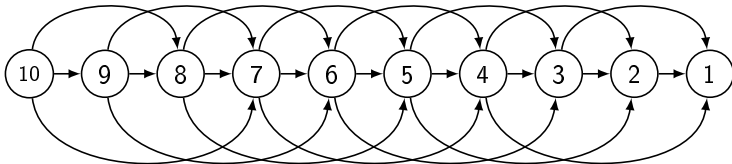


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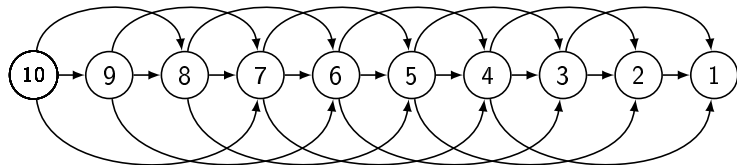
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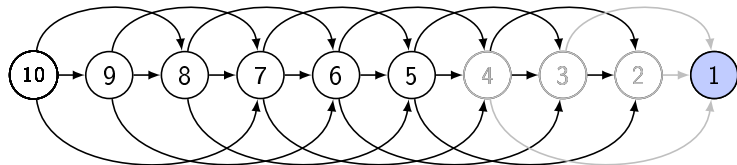
# Kernel and Nim game

Now we compute a kernel of this graph...



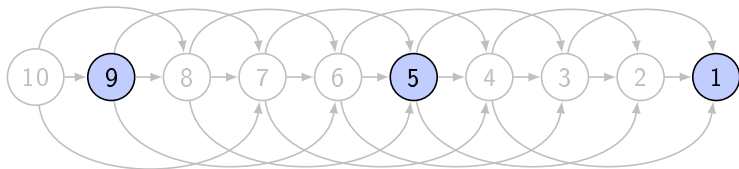
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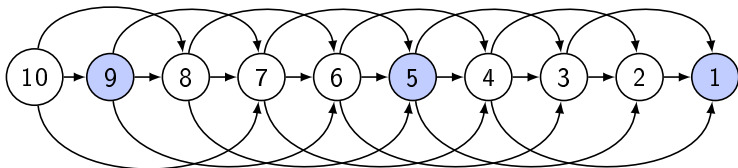
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# Kernel and Nim game

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**Winning strategy for player 1:**

Always put player 2 in a state  $s \in K$   
 $\iff$  Always leave a number of sticks  $\equiv 1 \pmod{4}$

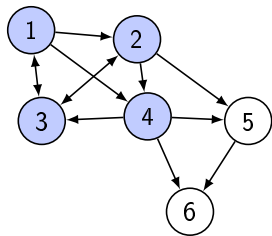
# Motivation

- Graphs do not always have a kernel.  
**Deciding if a graph has a kernel is NP-complete in general** (Chvatal 1973)
- In some graph families, a kernel do always exist.  
Thus the decision problem is trivial, but **computing one kernel may still be hard** (similar to Nash equilibrium)
- Our goal: find if computing a kernel is polynomial for families where kernel existence is guaranteed but computation complexity is unknown.  
→ *chordal clique-acyclic graphs*

# Cliques

A **clique** of a graph is a subset of vertices that are adjacent two by two.

A kernel of a clique is a single vertex (an absorbing vertex).



A digraph has a *clique-acyclic orientation* if every clique has an absorbing vertex.



# Chordal graphs

A graph is *chordal* if it has no cycle of length  $\geq 4$  without a chord.

## Theorem

Chordal clique-acyclic digraphs have a kernel.

Special case of Boros-Gurvich theorem (1996) on perfect graphs.

Not an algorithmic proof: no polynomial algorithm to compute a kernel.

# Problem statement

## Problem

Find a polynomial algorithm to compute a kernel of a chordal clique-acyclic graph.

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## An easy case: graph without $\leftrightarrow$

Input: Chordal clique-acyclic graph without *reversible* arcs.

- Claim 1: it has no circuit
- Claim 2: computing a kernel of a graph without circuit is easy

## No circuit?

- 1 The graph has no reversible arc  
⇒ the graph has no 2-circuit
- 2 The graph is clique-acyclic: every clique has an absorbing vertex  
⇒ the graph has no 3-circuit



- 3 The graph is chordal  
⇒ if it has a circuit of length  $\geq 3$ , it has a 3-circuit  
⇒ the graph has no circuit of length  $\geq 3$

Chordal clique-acyclic graph without *reversible* arcs has no circuit.

## Computing a kernel of a graph without circuit

We did it already for the Nim graph!

Reminder: all sinks must be in the kernel

### Algorithm:

- Put all sinks in  $K$
- Remove all their neighbors
- Reiterate

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Polynomial algorithm in this case

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Polynomial algorithm in this case

With  $\leftrightarrow$ :

- potentially no sink...
- replacing  $\leftrightarrow$  by  $\rightarrow$  creates circuits...



## Our theorem in general case

### Theorem

A kernel of a chordal clique-acyclic digraph can be computed in polynomial time.

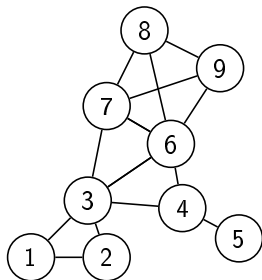
## Introducing the clique tree

Structural property of chordal graphs:  
Efficiently represented by a **tree** whose nodes are **cliques** of the graph.

A special case of **tree decomposition** (Halin 1976)

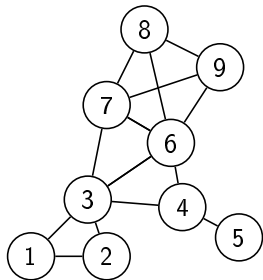
## Building a clique tree

The chordal graph

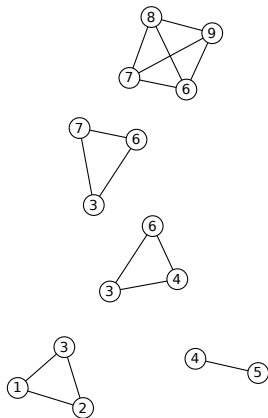


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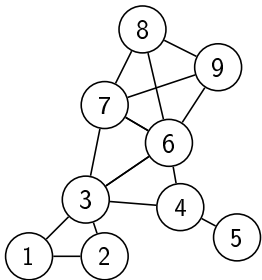


Its maximal cliques

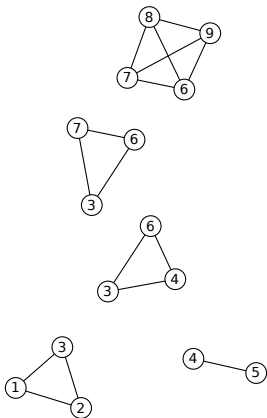


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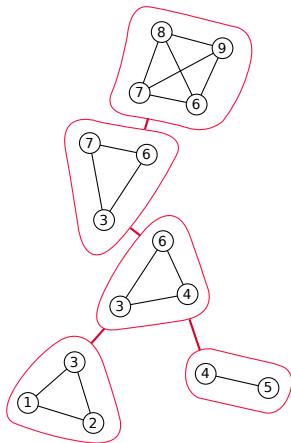
The chordal graph



Its maximal cliques



One clique tree



## Clique tree: formal definition

A *clique tree* of a connected chordal digraph  $D$  is a tree  $\mathcal{T} = (\mathcal{C}, \mathcal{E})$  such that:

- the node set  $\mathcal{C}$  is the collection of all maximal cliques of  $D$
- for every vertex  $v \in V$ , the subgraph of  $\mathcal{T}$  induced by all cliques of  $\mathcal{C}$  containing  $v$  is a subtree of  $\mathcal{T}$ .

Existence and computability (Habib 1995): Every chordal graph has a clique tree which is computable in polynomial time.

## Result

- Using clique tree structure, we propose an algorithm to compute a kernel of chordal clique-acyclic graph
- It is polynomial of complexity  $\leq O(n^4)$
- Now the question is to generalize it to other families of graphs

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# Natural generalization

The theorem still holds for a graph verifying  $(H1)$ ,  $(H2)$ ,  $(H3)$ .

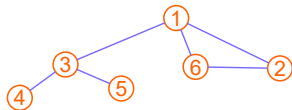
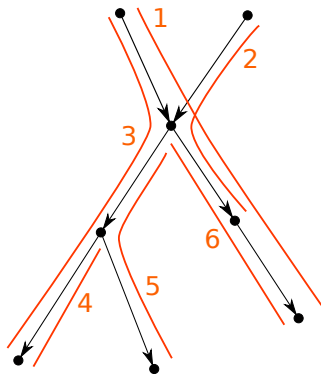
## Generalized assumptions

- **(H1)**  $\exists$  tree decomposition such that the intersection of two bags is a clique
- **(H2)**  $\forall$  subgraph  $B$  of a bag,  $B$  has a kernel computable in polynomial time
- **(H3)**  $\forall$  subgraph  $B$  of a bag,  $\forall v$  fixed vertex in  $B$ , in polynomial time: compute a kernel of  $B$  containing  $v$  if exists, or report that none exists otherwise

Extension of chordal case

# DE graphs

- Consider a collection of paths on a directed tree
- Paths are vertices of the DE graph
- Two vertices are adjacent if the paths share an edge
- The collection of paths is the *representation* of the DE graph



# Stable marriages

- Two sets: men and women
- Every person ranks individuals from the other gender
- A matching is a set of married couples
- A matching is stable if *there is no pair  $(m, w)$  not married together such that both of them prefer the other to their current husband/wife*

Algorithm of Gale and Shapley: a stable marriage is computed in poly time for any instance

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What about DE graphs ?

# DE graphs

DE graphs have a tree decomposition verifying (H1)

**Question:** do DE bags verify (H2), (H3) ?

One-to-one correspondance between:

- a DE bag with clique-acyclic orientation without reversible arc
- an instance of the stable marriage problem

A kernel of the DE graph is a stable marriage of the Gale-Shapley instance.

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Thanks to stable marriages,  $(H1)$ ,  $(H2)$ ,  $(H3)$  can be verified for DE clique-acyclic without reversible arc. The generalized algorithm is applicable.

## Conclusion and open questions

### Results:

- Algorithm for chordal graphs
- Generalization - applicable for some DE graphs

### What's next:

- Refine the algorithm to compute all kernels? if possible.  
Would allow us to solve existence problem.
- Extend the results for DE graphs to the case of reversible arcs  
(weakly stable marriages)
- Characterize graph families that verify  $(H1)$ ,  $(H2)$ ,  $(H3)$
- Look for minimal hypothesis instead of  $(H1)$ ,  $(H2)$ ,  $(H3)$  (we would appreciate to weaken  $(H3)$ )

# Lunch time

Thank you for your attention !